

# $\chi$ -Slice links

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Knots: Knotted circles in  $\mathbb{R}^3$



(unknot)

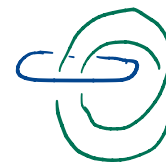


(trefoil)



(figure-eight)

Links: Collection of knots in  $\mathbb{R}^3$



Surfaces:



sphere



torus



genus 2 surface



genus g surface



disk

etc.



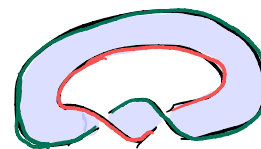
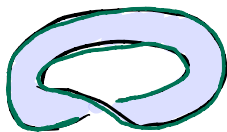
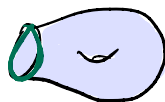
Möbius band

(without boundary)

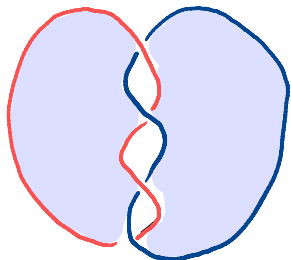
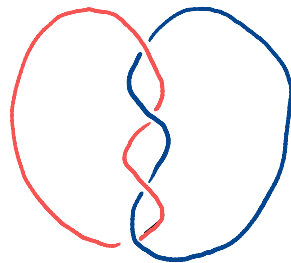
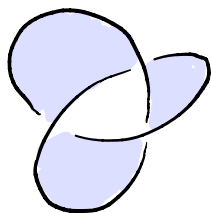
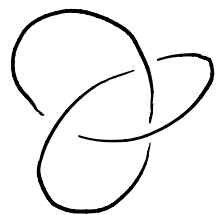
(with one boundary component)

(In topology, everything is made of rubber)

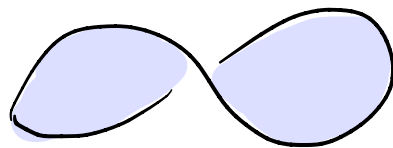
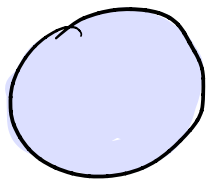
Note: The boundary of a surface in  $\mathbb{R}^3$  is a link in  $\mathbb{R}^3$



Fact: Every link in  $\mathbb{R}^3$  is the boundary of a surface in  $\mathbb{R}^3$   
(proved by Frankl-Pontryagin in 1930 and an algorithm to construct such surfaces was given by Seifert in 1934)



The only knot in  $\mathbb{R}^3$  that bounds a disk in  $\mathbb{R}^3$  is the unknot.



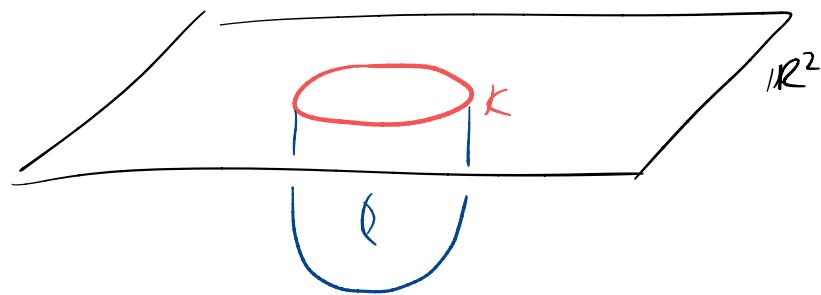
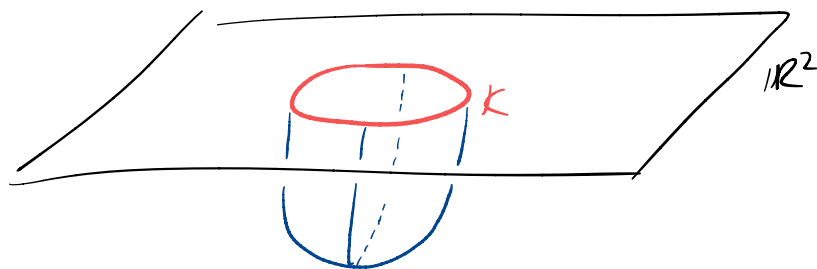
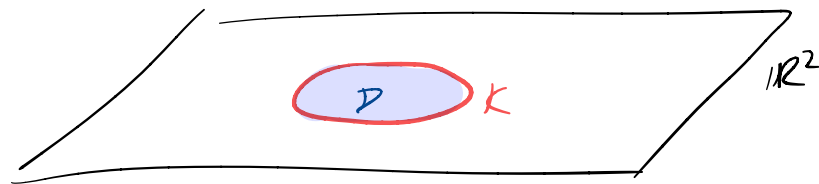
## Motivating Example

Let  $K$  be a knot in  $\mathbb{R}^2$   
(it must be the unknot)

Let  $D$  be a disk in  $\mathbb{R}^2$  bounded by  $K$

Then  $D$  can be pushed into  $\mathbb{R}^3$   
giving a disk in  $\mathbb{R}^3$  bounded by  $K$ ,  
which is still in  $\mathbb{R}^2$

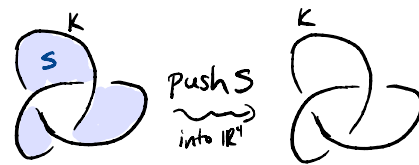
Moreover,  $K$  can bound other  
kinds of surfaces in  $\mathbb{R}^3$  that  
it cannot bound in  $\mathbb{R}^2$



The same is true for knots & links in  $\mathbb{R}^3$ :

Any surface bounded by a link  $L$  in  $\mathbb{R}^3$  can be pushed into  $\mathbb{R}^4$

Moreover,  $L$  can potentially bound more  
kinds of surfaces in  $\mathbb{R}^4$  than in  $\mathbb{R}^3$



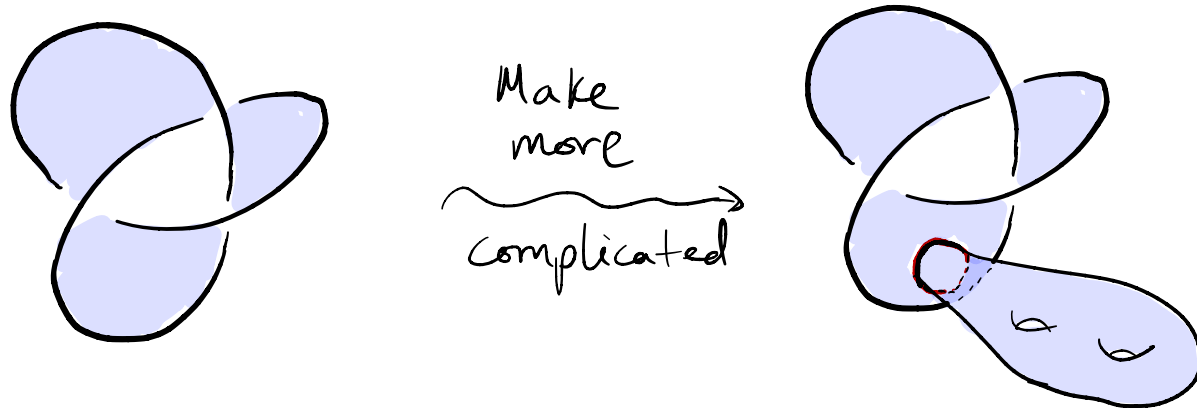
Def: A knot in  $\mathbb{R}^3$  is called **slice** if it bounds a disk in  $\mathbb{R}^4$

Why a disk?


The simplest surface a knot can bound is a disk.

It is hard to bound simple surfaces

It is easy to bound more complicated surfaces.

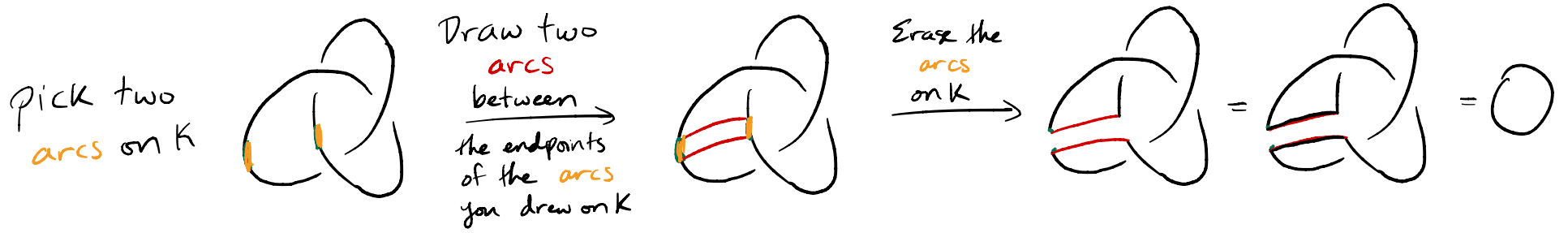


Ex: The unknot is slice   
since it bounds a disk in  $\mathbb{R}^3$  that we can push into  $\mathbb{R}^4$

Ex:  is slice even though it does not bound a disk in  $\mathbb{R}^3$   
(since it is not the unknot).

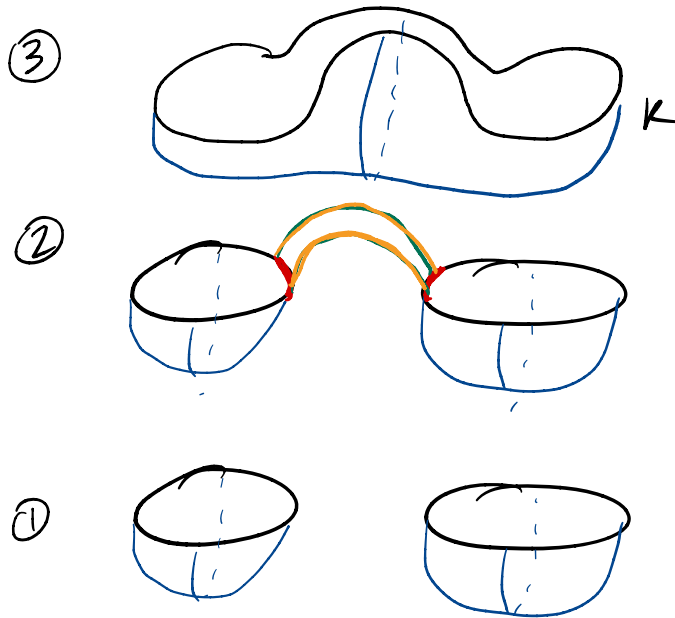
--- how can we tell?

# Band Moves



Fact: If we perform one band move to  $K$  and get  $\bigcirc \bigcirc$  then  $K$  is slice.

Why?



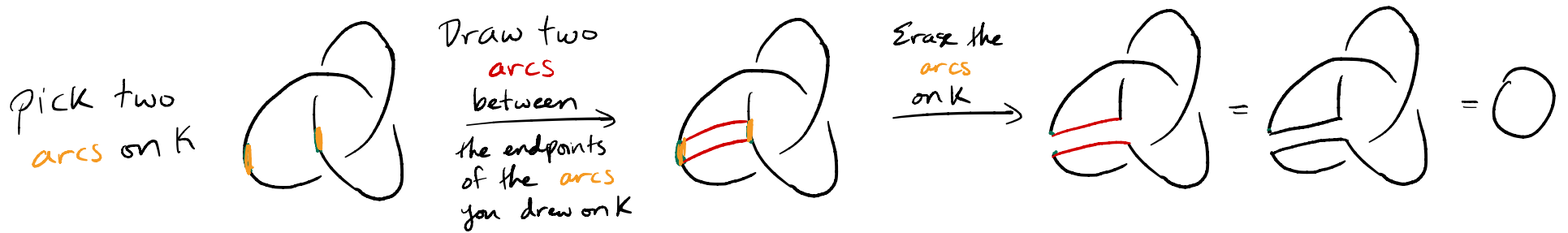
The result is a disk in  $\mathbb{R}^4$  with boundary  $K$

Redraw the arcs that were erased to recover  $K$ .  
Fill in the arcs with a rectangle (band)

These unknots bound disjoint disks in  $\mathbb{R}^4$ .

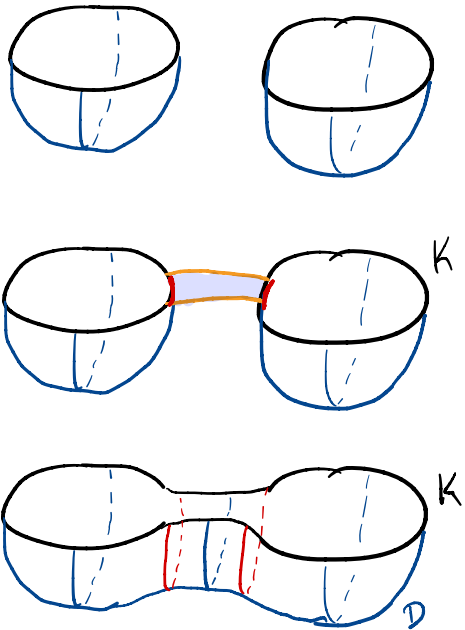
More generally, if we perform  $n$  band moves and get  $\bigcirc \overset{n+1}{\text{---}} \bigcirc$  then  $K$  is slice

# Band Moves



Fact: If we perform one band move to  $K$  and get  $\bigcirc \bigcirc$  then  $K$  is slice

Why?



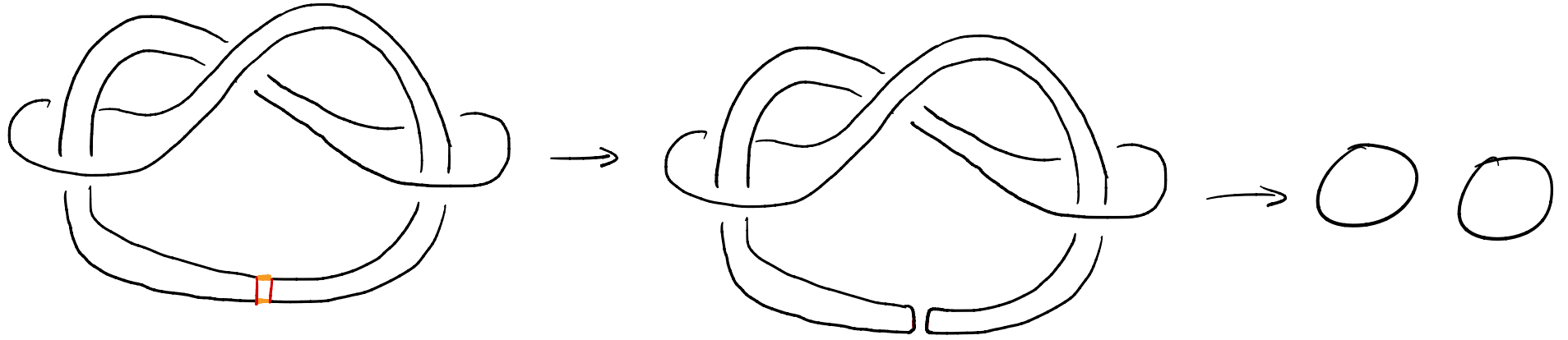
These unknots bound disjoint disks in  $\mathbb{R}^4$ .

Redraw the arcs that were erased to recover  $K$ .  
Fill in the arcs with a rectangle (band)

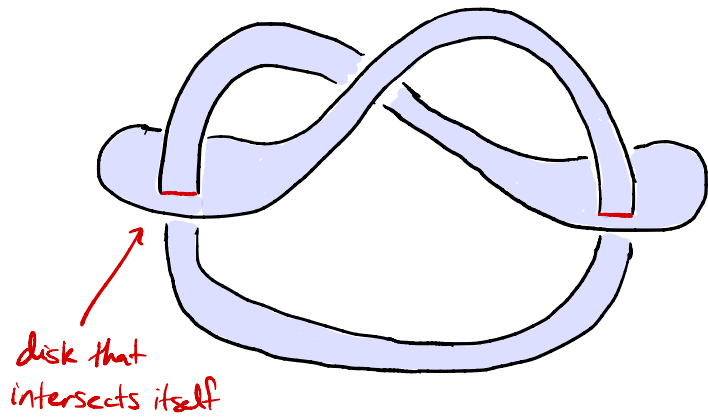
The result is a disk in  $\mathbb{R}^4$  with boundary  $K$

More generally, if we perform  $n$  band moves and get  $\bigcirc \cdots \bigcirc$

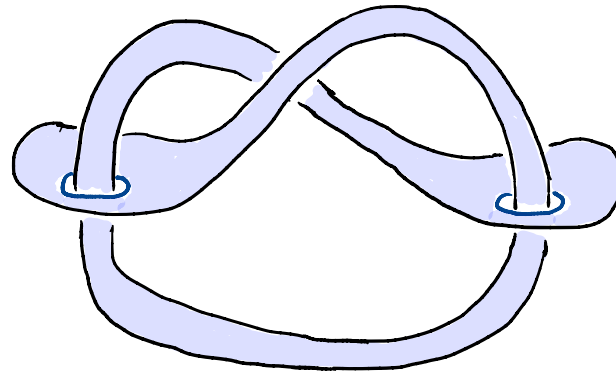
Ex



We can also see the disk



push regions around red lines into  $\mathbb{R}^4$



Now disk is in  $\mathbb{R}^4$  & does not intersect itself.

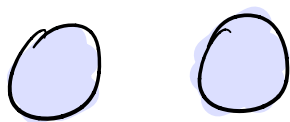


Ex:



Def: A link  $L$  in  $\mathbb{R}^3$  is slice if each component of  $L$  bounds a disk in  $\mathbb{R}^4$  and the disks are disjoint.

Ex:



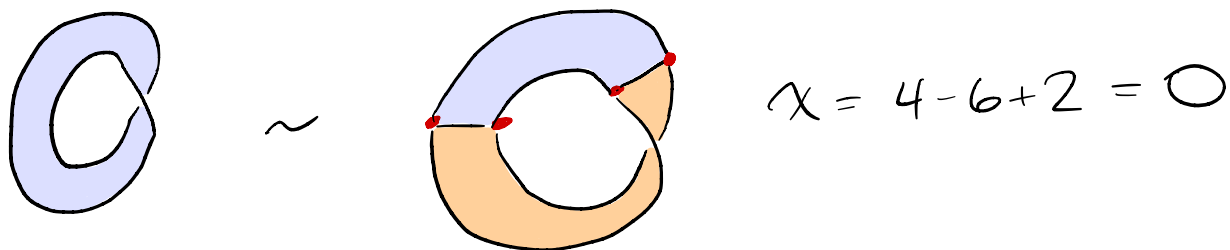
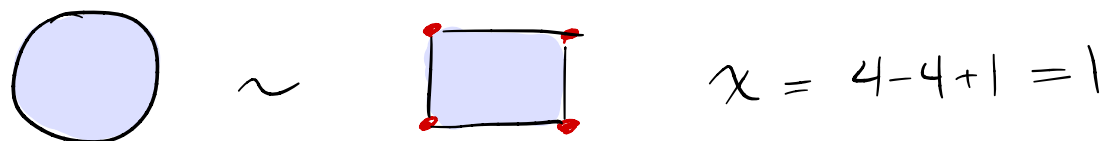
This is a "classical" notion of sliceness for links that many researchers have studied.

Def (2012): A link  $L$  in  $\mathbb{R}^3$  is  $\chi$ -slice if  $L$  bounds a surface in  $\mathbb{R}^4$  with no closed components and Euler characteristic 1.

each surface has boundary
←

The Euler characteristic of a surface  $S$  is

$$\chi(S) = \# \text{vertices} - \# \text{edges} + \# \text{faces}$$



Note: If  $L$  has one component, then  $\chi$ -slice = slice

(since the only  $\chi=1$  surface w/ one boundary component is the disk)

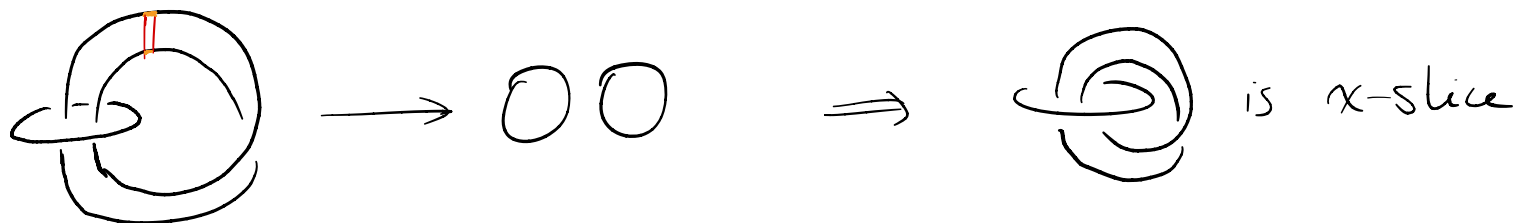
# Why look at $\chi$ -slice?

- As with knots, the simplest surfaces that certain links can bound are those with  $\chi=1$ .

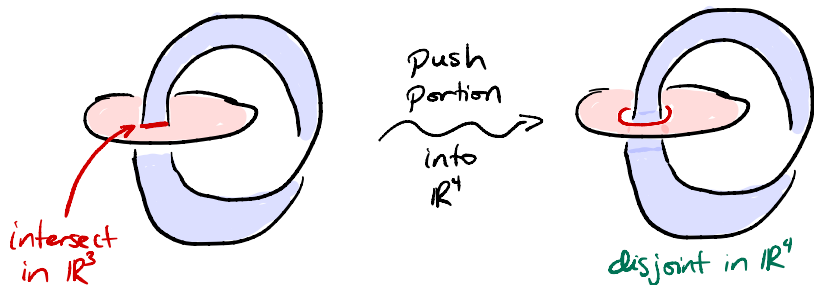
↑  
those with  
"nonzero determinant"

- $\chi$ -slice links are also useful for constructing 3-dimensional and 4-dimensional objects that topologists are interested in studying.

To show a link is  $\chi$ -slice! use band moves as with knots



We can also see the  $\chi=1$  surface in this example:



$L$  bounds Disk  $\sqcup$  Mobius band

$$\Rightarrow \chi = 1 + 0 = 1$$

$\Rightarrow L$  is  $\chi$ -slice

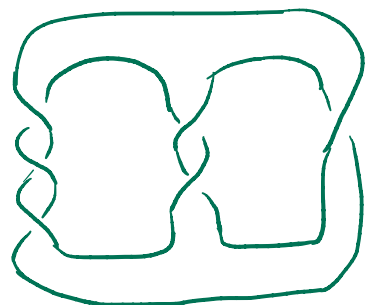
Summer REU: Determine which pretzel links are  $\alpha$ -slice

with:

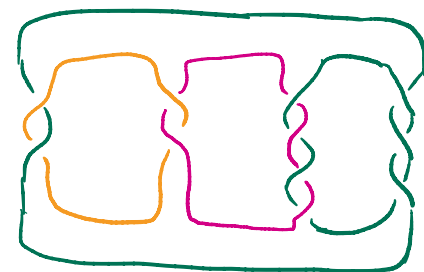
Hannah Turner (co-mentor)  
Weizhe Shen (grad TA)

Students:

Sophia Fanelle (Barnard)  
Ben Heunemann (Utah)  
Evan Huang (Rice)

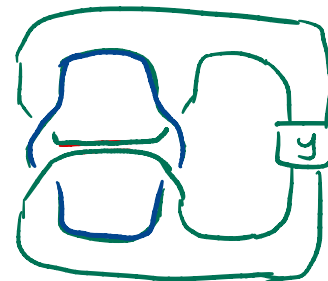
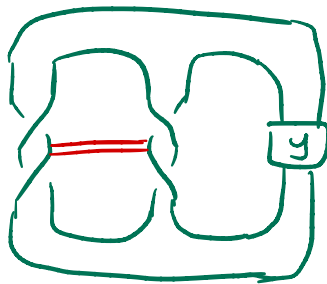


$P(-3, 2, 1)$



$P(2, -2, 4, -3)$

Ex:  $P(x, -x, y)$  is  $\alpha$ -slice  $\vee$   $x, y$



Much is known about which pretzel knots are slice

The goal of the REU was to extend these results to links and understand which pretzel links are  $\alpha$ -slice

# Tools

- Construction

Show certain infinite families of pretzel links are  $\chi$ -slice by using band moves

- Obstruction (this is the hard part)

Show "most" pretzel links are **not**  $\chi$ -slice using algebraic tools

e.g. Donaldson's Diagonalization Theorem,  
Lattice Embeddings } more or less understandable  
if you know linear algebra

Heegaard Floer Homology  $\delta$ -invariants - More advanced

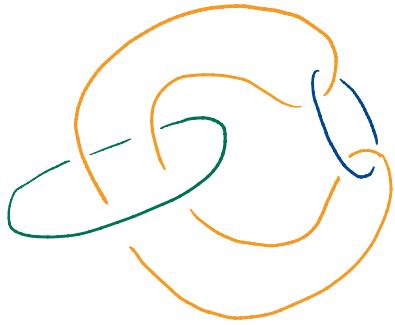
(The majority of the summer was spent  
understanding and applying these obstructive tools)

## Some Results

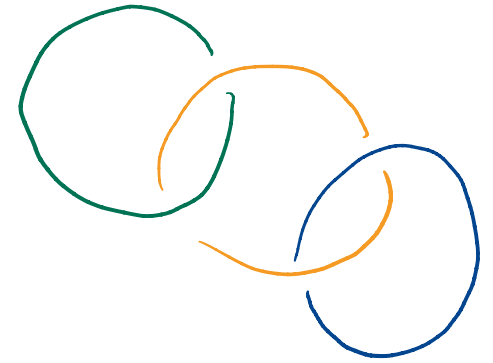
- If  $p_1, p_2, \dots, p_k > 0$ , then  $P(p_1, \dots, p_k)$  is  $\alpha$ -slice if and only if  
 $(p_1, p_2, \dots, p_k) = (k-3, 1, \dots, 1)$  or  $(p_1, p_2, \dots, p_k) = (m+1, 1, \dots, 1)$
- The following 4-stranded pretzel links are  $\alpha$ -slice:  
 $P(p, 1, -2, -2)$ ,  $P(p, q, -q, -p-1)$ ,  $P(p, q, -q, -p-4)$  (there are more)
- If  $p, q, r, s$  satisfy some restrictive algebraic conditions, then  $P(p, q, r, s)$  is not  $\alpha$ -slice

Open Problem: finish the classification of  $\alpha$ -slice 4-stranded pretzel links

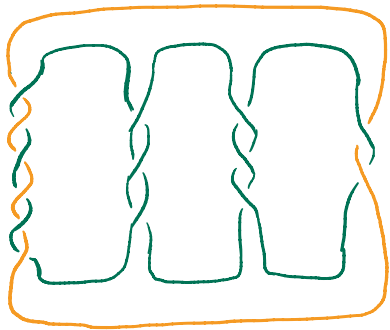
(slice 4-stranded knots were classified by Lecuona in 2013)



(Borromean Rings)

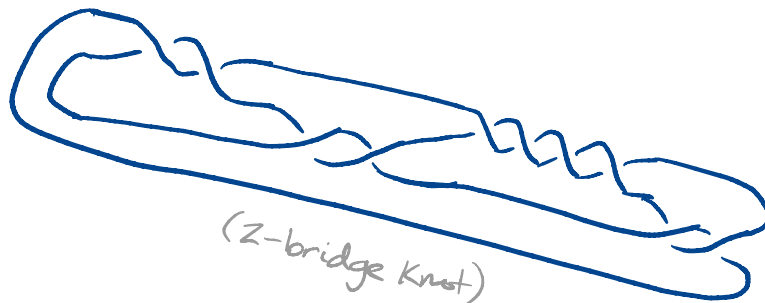


# Thanks!



(4-stranded pretzel link)

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(2-bridge knot)

### Challenge!

All links on this page  
are  $\chi$ -slice.

Can you find band moves  
to prove it?

Answers:

