

1. Use the Gram–Schmidt process to turn the following sets of vectors into orthonormal sets spanning the same subspace.

$$(a) \underbrace{\begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}}_{\vec{v}_1}$$

$$\vec{u}_1 = \frac{1}{\|\vec{v}_1\|} \vec{v}_1 = \underbrace{\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}}$$

$$(b) \underbrace{\begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}}_{\vec{v}_1}, \underbrace{\begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}}_{\vec{v}_2}$$

$$\cdot \quad \vec{u}_1 = \frac{1}{\|\vec{v}_1\|} \vec{v}_1 = \underbrace{\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}}$$

$$\begin{aligned} \cdot \quad \vec{v}_2^\perp &= \vec{v}_2 - (\vec{u}_1 \cdot \vec{v}_2) \vec{u}_1 \\ &= \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} - \frac{1}{2} \left( \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} \right) \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} \\ &= \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} - \frac{1}{2} \cdot 1 \cdot \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} \\ &= \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} - \begin{pmatrix} 1/2 \\ 0 \\ -1/2 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} \end{aligned}$$

$$\Rightarrow \vec{u}_2 = \frac{1}{\|\vec{v}_2^\perp\|} \vec{v}_2^\perp = \frac{1}{\frac{1}{2}\sqrt{6}} \cdot \frac{1}{2} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} = \underbrace{\frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}}$$

$$(c) \begin{pmatrix} 1 \\ 0 \\ -1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\underbrace{\quad}_{\tilde{v}_1} \quad \underbrace{\quad}_{\tilde{v}_2} \quad \underbrace{\quad}_{\tilde{v}_3}$$

Like in (a) and (b),

$$\begin{aligned} \cdot \tilde{u}_1 &= \frac{1}{\|\tilde{v}_1\|} \tilde{v}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \\ 0 \end{pmatrix} \\ \cdot \tilde{v}_2^\perp &= \tilde{v}_2 - (\tilde{u}_1 \cdot \tilde{v}_2) \tilde{u}_1 = \frac{1}{2} \begin{pmatrix} 1 \\ 2 \\ 1 \\ 0 \end{pmatrix} \\ \Rightarrow \tilde{u}_2 &= \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 2 \\ 1 \\ 0 \end{pmatrix} \end{aligned}$$

These are the same vectors as before, just with a 0 in their 4th component.

$$\begin{aligned} \cdot \tilde{v}_3^\perp &= \tilde{v}_3 - (\tilde{u}_1 \cdot \tilde{v}_3) \tilde{u}_1 - (\tilde{u}_2 \cdot \tilde{v}_3) \tilde{u}_2 \\ &= \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} - \frac{1}{2} \underbrace{\left( \begin{pmatrix} 1 \\ 0 \\ -1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} \right)}_{=1} \begin{pmatrix} 1 \\ 0 \\ -1 \\ 0 \end{pmatrix} - \frac{1}{6} \underbrace{\left( \begin{pmatrix} 1 \\ 2 \\ 1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} \right)}_{=1} \begin{pmatrix} 1 \\ 2 \\ 1 \\ 0 \end{pmatrix} \\ &= \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix} - \begin{pmatrix} 1/2 \\ 0 \\ -1/2 \\ 0 \end{pmatrix} - \begin{pmatrix} 1/6 \\ 2/6 \\ 1/6 \\ 0 \end{pmatrix} \\ &= \frac{1}{6} \left[ \begin{pmatrix} 6 \\ 0 \\ 0 \\ 6 \end{pmatrix} - \begin{pmatrix} 3 \\ 0 \\ -3 \\ 0 \end{pmatrix} - \begin{pmatrix} 1 \\ 2 \\ 1 \\ 0 \end{pmatrix} \right] \\ &= \frac{1}{6} \begin{pmatrix} 2 \\ -2 \\ 2 \\ 6 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 \\ -1 \\ 1 \\ 2 \end{pmatrix} \\ \Rightarrow \tilde{u}_3 &= \frac{1}{\|\tilde{v}_3^\perp\|} \tilde{v}_3^\perp = \frac{1}{\frac{1}{3}\sqrt{7}} \cdot \frac{1}{3} \begin{pmatrix} 1 \\ -1 \\ 1 \\ 2 \end{pmatrix} = \frac{1}{\sqrt{7}} \begin{pmatrix} 1 \\ -1 \\ 1 \\ 2 \end{pmatrix} \end{aligned}$$

2. Find an orthonormal basis of the plane  $x - 2y + z = 0$ .

By inspection, two LI vectors in the plane are  
 $\vec{v}_1 = \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix}$  and  $\vec{v}_2 = \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix}$ .

Gram-Schmidt:

$$\cdot \quad \vec{u}_1 = \frac{1}{\|\vec{v}_1\|} \vec{v}_1 = \frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix}$$

$$\begin{aligned} \cdot \quad \vec{v}_2^\perp &= \vec{v}_2 - (\vec{u}_1 \cdot \vec{v}_2) \vec{u}_1 \\ &= \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} - \frac{1}{\sqrt{5}} \left( \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \right) \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} \\ &= \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} - \frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} \\ &= \frac{1}{\sqrt{5}} \left[ \begin{pmatrix} 0 \\ 5 \\ 10 \end{pmatrix} - \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix} \right] \\ &= \frac{1}{\sqrt{5}} \begin{pmatrix} -2 \\ 4 \\ 10 \end{pmatrix} \\ &= \frac{2}{\sqrt{5}} \begin{pmatrix} -1 \\ 2 \\ 5 \end{pmatrix} \end{aligned}$$

$$\Rightarrow \vec{u}_2 = \frac{1}{\|\vec{v}_2^\perp\|} \vec{v}_2^\perp = \frac{1}{\frac{2}{\sqrt{5}} \sqrt{30}} \cdot \frac{2}{\sqrt{5}} \begin{pmatrix} -1 \\ 2 \\ 5 \end{pmatrix} = \frac{1}{\sqrt{30}} \begin{pmatrix} -1 \\ 2 \\ 5 \end{pmatrix}$$

So  $\frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ 1 \\ 0 \end{pmatrix}, \frac{1}{\sqrt{30}} \begin{pmatrix} -1 \\ 2 \\ 5 \end{pmatrix}$  is an orthonormal basis

of the plane  $x - 2y + z = 0$ .

3. Define a matrix  $A$  by

$$A = \begin{pmatrix} 1 & 2 & 1 & 0 \\ 1 & 0 & -1 & -2 \\ 0 & 1 & 1 & 1 \\ 2 & 3 & 1 & -1 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 & -1 & -2 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$\uparrow R_1 \leftrightarrow R_2$

(a) Find the rank of  $A$ .

$$\text{rref: } \begin{pmatrix} 1 & 2 & 1 & 0 \\ 1 & 0 & -1 & -2 \\ 0 & 1 & 1 & 1 \\ 2 & 3 & 1 & -1 \end{pmatrix} \xrightarrow{\substack{R_2-R_1 \\ R_4-2R_1}} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & -2 & -2 & -2 \\ 0 & 1 & 1 & 1 \\ 0 & -1 & -1 & -1 \end{pmatrix} \xrightarrow{\text{erident}} \begin{pmatrix} 1 & 2 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow \underline{\underline{\text{rk}(A)=2}}$$

(b) Find an orthonormal basis of the image of  $A$ .

$$\dim(\text{im } A) = \text{rk}(A) = 2 \Rightarrow \text{im } A = \text{span} \left\{ \begin{pmatrix} 1 \\ 1 \\ 0 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 0 \\ 1 \\ 3 \end{pmatrix} \right\}.$$

$$\text{Gram-Schmidt: } \vec{u}_1 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 2 \end{pmatrix}$$

$$\vec{v}_2^\perp = \begin{pmatrix} 2 \\ 0 \\ 1 \\ 3 \end{pmatrix} - \underbrace{\frac{1}{6}(2+0+0+6)}_{=4/3} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 2 \end{pmatrix} = \frac{1}{3} \left[ \begin{pmatrix} 6 \\ 0 \\ 3 \\ 9 \end{pmatrix} - \begin{pmatrix} 4 \\ 4 \\ 0 \\ 8 \end{pmatrix} \right] = \frac{1}{3} \begin{pmatrix} 2 \\ -4 \\ 3 \\ 1 \end{pmatrix}$$

$$\Rightarrow \vec{u}_2 = \frac{1}{\sqrt{4+16+9+1}} \begin{pmatrix} 2 \\ -4 \\ 3 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{30}} \begin{pmatrix} 2 \\ -4 \\ 3 \\ 1 \end{pmatrix}$$

So  $\frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 2 \end{pmatrix}, \frac{1}{\sqrt{30}} \begin{pmatrix} 2 \\ -4 \\ 3 \\ 1 \end{pmatrix}$  is an <sup>orthonormal</sup> basis of  $\text{im}(A)$ .

(c) Find an orthonormal basis of the kernel of  $A$ .

From rref above, we see  $A\vec{x} = \vec{0} \Leftrightarrow x_3 = s, x_4 = t, x_2 = -s-t$   
 $x_1 = s+2t$

$$\Rightarrow \ker A = \text{span} \left\{ \begin{pmatrix} 1 \\ -1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ 0 \\ 1 \\ 1 \end{pmatrix} \right\}.$$

$$\text{Gram-Schmidt: } \vec{u}_1 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ -1 \\ 1 \\ 0 \end{pmatrix}$$

$$\vec{v}_2^\perp = \begin{pmatrix} 2 \\ 0 \\ 1 \\ 1 \end{pmatrix} - \underbrace{\frac{1}{3}(2+0+1+0)}_{=1} \begin{pmatrix} 1 \\ -1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}$$

$$\Rightarrow \vec{u}_2 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}$$

So  $\frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ -1 \\ 1 \\ 0 \end{pmatrix}, \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}$  is an orthonormal basis of  $\ker A$ .