

Spectral Gaps of Generalized Flag Complexes

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Graph Laplacian

$G = (V, E)$ a graph, $|V| = n$.

The **Laplacian** of G is the $V \times V$ matrix L_G :

$$L_G(u, v) = \begin{cases} \deg(u) & \text{if } u = v, \\ -1 & \text{if } uv \in E, \\ 0 & \text{otherwise.} \end{cases}$$

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$\lambda_2 > 0 \Leftrightarrow G$ is connected.

Simplicial Cohomology

X a simplicial complex on vertex set V .

$X(k)$ = k -dimensional simplices.

$C^k(X)$ = k -cochains = skew-symmetric maps from the set of ordered k -simplices to \mathbb{R} .

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For $\sigma \in X(k+1)$, $\tau \in \sigma(k)$ ordered simplices:

Let $\{v\} = \sigma \setminus \tau$.

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The **Coboundary Operator** $d_k : C^k(X) \rightarrow C^{k+1}(X)$ is given by

$$d_k \phi(\sigma) = \sum_{\tau \in \sigma(k)} (\sigma : \tau) \phi(\tau).$$

Simplicial Cohomology

$Z^k(X) = k\text{-cocycles} = \text{Ker}(d_k)$.

$B^k(X) = k\text{-coboundaries} = \text{Im}(d_{k-1})$.

k -th reduced cohomology group of X :

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Adjoint of coboundary operator: $d_k^* : C^{k+1}(X) \rightarrow C^k(X)$

$$\langle d_k \phi, \psi \rangle = \langle \phi, d_k^* \psi \rangle.$$

Higher Laplacians

$$C^{k-1}(X) \begin{array}{c} \xrightarrow{d_{k-1}} \\ \xleftarrow{d_{k-1}^*} \end{array} C^k(X) \begin{array}{c} \xrightarrow{d_k} \\ \xleftarrow{d_k^*} \end{array} C^{k+1}(X)$$

The reduced **k -Laplacian** of X is the positive semidefinite operator

$$L_k = d_{k-1}d_{k-1}^* + d_k^*d_k : C^k(X) \rightarrow C^k(X).$$

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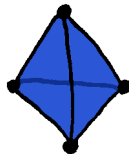
Matrix form of the k -Laplacian

$$L_k(\sigma, \tau) = \begin{cases} \deg(\sigma) + k + 1 & \text{if } \sigma = \tau, \\ (\sigma : \sigma \cap \tau)(\tau : \sigma \cap \tau) & \text{if } |\sigma \cap \tau| = k, \sigma \cup \tau \notin X, \\ 0 & \text{otherwise.} \end{cases}$$

Higher Laplacians

Example

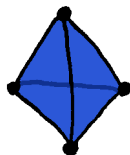
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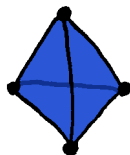


$$L_1(X) = \begin{pmatrix} 4 & 0 & 0 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 4 \end{pmatrix}$$

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$$L_2(X) = \begin{pmatrix} 3 & 1 & -1 & 1 \\ 1 & 3 & 1 & -1 \\ -1 & 1 & 3 & 1 \\ 1 & -1 & 1 & 3 \end{pmatrix}$$

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$\mu_k(X)$ = k -th **spectral gap** of X = minimal eigenvalue of $L_k(X)$.

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Relation with the graph Laplacian

Let G = 1-skeleton of X . Then

$$L_0(X) = L_G + J,$$

$$\mu_0(X) = \lambda_2(G).$$

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Simplicial Hodge Theorem

$$\text{Ker}(L_k) \cong \tilde{H}^k(X; \mathbb{R}).$$

In particular:

$$\mu_k > 0 \Leftrightarrow \tilde{H}^k(X; \mathbb{R}) = 0.$$

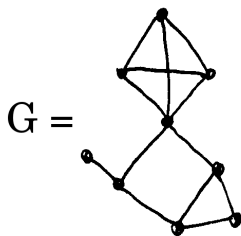
Flag Complexes

The **flag complex** (or clique complex) $X(G)$ of graph $G = (V, E)$:
Vertex set: V , Simplices: all cliques of G .

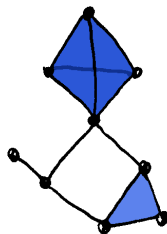
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Example



$X(G) =$



Spectral Gaps of Flag Complexes

$G = (V, E)$ a graph with $|V| = n$. Let $X = X(G)$.

Theorem[Aharoni-Berger-Meshulam]:

For $k \geq 1$

$$k\mu_k(X) \geq (k+1)\mu_{k-1}(X) - n.$$

In particular

$$\mu_k(X) \geq (k+1)\lambda_2(G) - kn.$$

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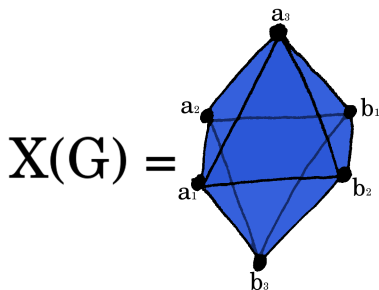
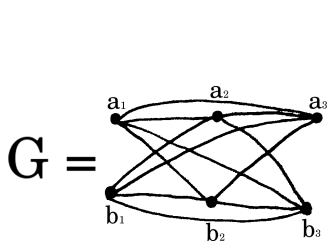
Corollary:

$$\lambda_2(G) > \frac{kn}{k+1} \implies \mu_k(X) > 0 \implies \tilde{H}^k(X; \mathbb{R}) = 0.$$

Spectral Gaps of Flag Complexes

Extremal Example [Aharoni-Berger-Meshulam]:

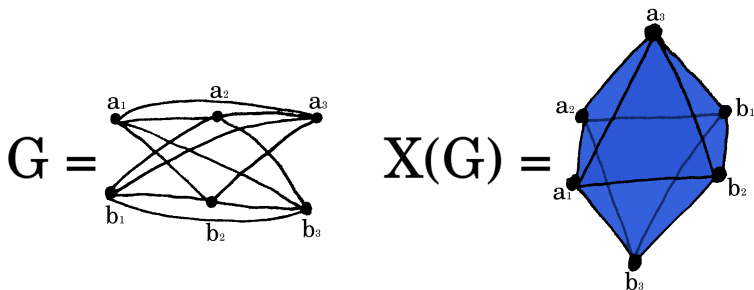
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Extremal Example [Aharoni-Berger-Meshulam]:

Let $n = r\ell$ and let G be the Turán graph $T(n, r)$, i.e. the complete r -partite graph.



Then $\lambda_2(G) = \ell(r-1) = \frac{r-1}{r}n$, but $\tilde{H}^{r-1}(X(G); \mathbb{R}) \neq 0$.

Generalized Flag Complexes

Missing Faces

X a simplicial complex on vertex set V .

$\tau \subset V$ is a **missing face** of X if $\tau \notin X$ but $\eta \in X$ for all $\eta \subsetneq \tau$.

$h(X) = \max\{\dim(\tau) : \tau \text{ is a missing face of } X\}$.

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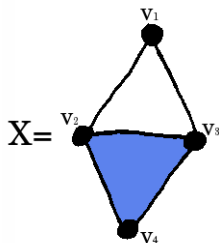
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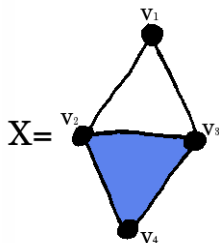
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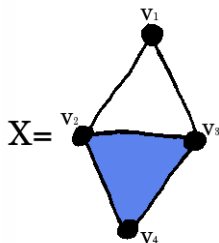
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X is a flag complex $\Leftrightarrow h(X) = 1$

(missing faces = edges of the complement of G)

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X a simplicial complex on vertex set V , $|V| = n$, with $h(X) = d$.

Theorem:

For $k \geq d$

$$(k - d + 1)\mu_k(X) \geq (k + 1)\mu_{k-1}(X) - dn.$$

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Corollary:

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Extremal Examples

Let $d = 2$. Then

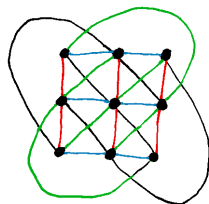
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Let X be the complex whose missing faces are the lines of the affine plane over \mathbb{F}_3 :

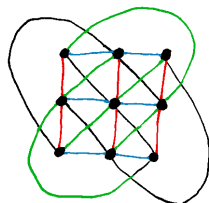


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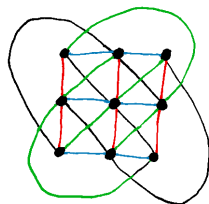
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Several more examples for $d = 2$ and $k \leq 4$, all arising from finite geometries.

Homological Connectivity

The **homological connectivity** of a complex X :

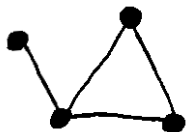
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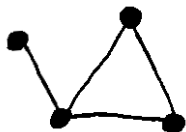


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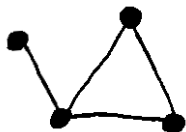
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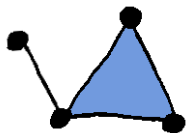
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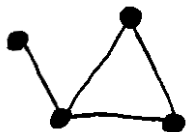


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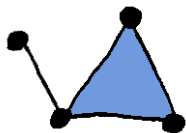
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For example: A subset $S \subset V$ is **totally dominating** if every vertex $v \in V$ has a neighbor in S . Let $\tilde{\gamma}(G)$ be the minimal size of a totally dominating set.

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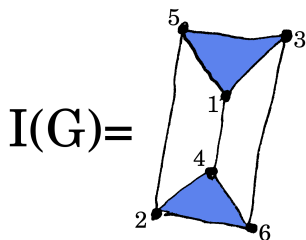
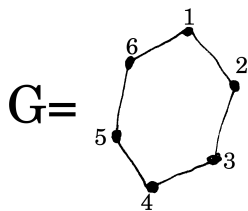
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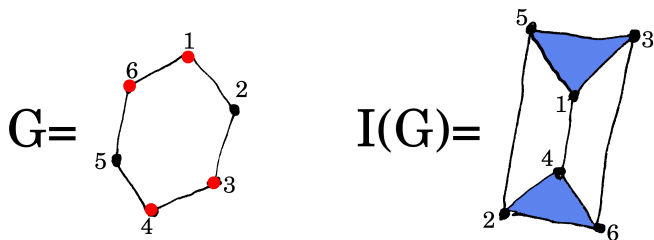
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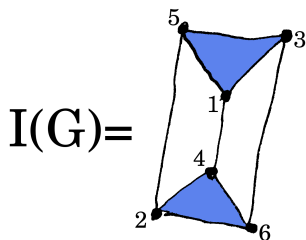
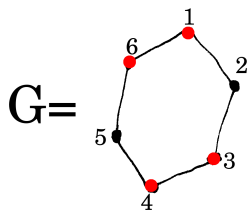
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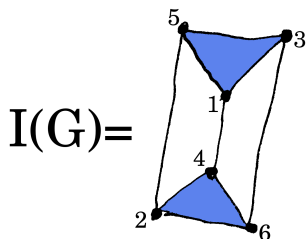
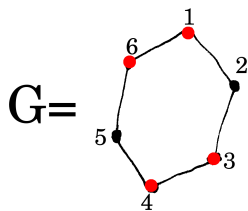
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Connectivity of Independence Complexes

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Vector Domination of a Graph

A **vector representation** of G :

$P : V \rightarrow \mathbb{R}^\ell$ such that for any $v, w \in V$

$$P(v) \cdot P(w) \geq \begin{cases} 1 & \text{if } \{v, w\} \in E, \\ 0 & \text{otherwise.} \end{cases}$$

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$$P(v) \cdot P(w) \geq \begin{cases} 1 & \text{if } \{v, w\} \in E, \\ 0 & \text{otherwise.} \end{cases}$$

Identify P with an $\mathbb{R}^{|V| \times \ell}$ matrix.

A vector $\mathbf{0} \leq \alpha \in \mathbb{R}^V$ is **dominating** for P if $\alpha P P^T \geq \mathbf{1}$, i.e.

$$\sum_{v \in V} \alpha(v) P(v) \cdot P(u) \geq 1$$

for all $u \in V$.

Vector Domination of a Graph

The **value** of P :

$$\begin{aligned} |P| &= \min\{\alpha \cdot \mathbf{1} : \alpha \text{ is dominating}\} \\ &= \max\{\alpha \cdot \mathbf{1} : \alpha \geq \mathbf{0}, \alpha PP^T \leq \mathbf{1}\}. \end{aligned}$$

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Colorful Simplices

Let V_1, \dots, V_m be a partition of V . A simplex $\sigma \in X$ is **colorful** if $|\sigma \cap V_i| = 1$ for all $i = 1, \dots, m$.

Theorem[Aharoni-Haxell, Meshulam]:

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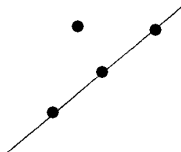
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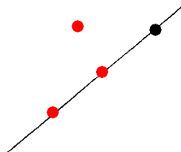
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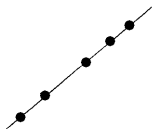
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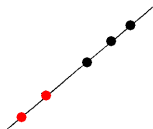
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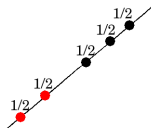
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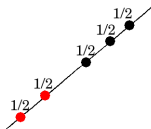
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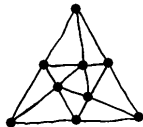
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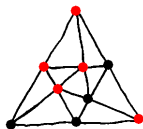
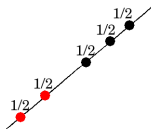


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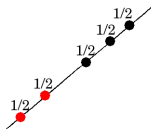


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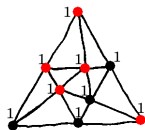
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For V be a finite set of points in \mathbb{R}^d , build a simplicial complex X :
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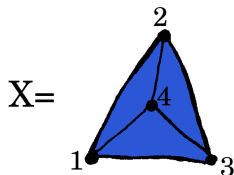
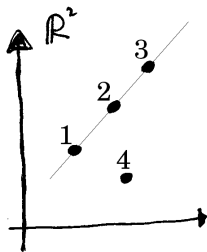
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Spectral Gaps and Minimal Degrees

X a simplicial complex on vertex set V , $|V| = n$, with $h(X) = d$.

Let $k \geq 0$.

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Theorem[Adamaszek]:

$\tilde{H}^k(X; \mathbb{R}) = 0$ for all $k > \frac{d}{d+1}n - 1$.

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X, Y simplicial complexes on disjoint vertex sets.

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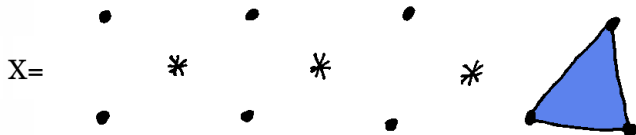
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For all k we have

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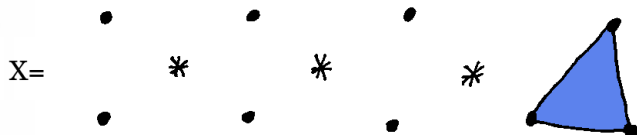
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For example for $k = 2$:

$$\mu_2(X) = 3,$$

$$\delta_2(X) = 3.$$

Indeed $\mu_2(X) = 2(\delta_2(X) + 2 + 1) - n = 12 - 9 = 3$.

Uniqueness of Extremal Examples for Flag Complexes

For flag complexes ($h(X) = 1$) these are the only extremal examples:

Theorem:

Let X be a flag complex on vertex set V , $|V| = n$, such that $\mu_k(X) = 2(k+1) - n$ for some $k \geq 0$. Then

$$X \cong \underbrace{\Delta_1^{(0)} * \Delta_1^{(0)} * \cdots * \Delta_1^{(0)}}_{(n-k-1) \text{ times}} * \Delta_{2(k+1)-n-1}.$$