Asymptotic behavior of Laplacian eigenvalues of subspace inclusion graphs

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#### Introduction

•  $\mathbb{F}_q^n = n$ -dimensional vector space over the field of q elements.

• 
$$\binom{n}{k}_{q}$$
 = number of k-dimensional subspaces of  $\mathbb{F}_{q}^{n}$   
=  $\frac{\prod_{i=n-k+1}^{n}(q^{i}-1)}{\prod_{i=1}^{k}(q^{i}-1)}$ .

•  $S_{n,q}$  = set of all non-trivial subspaces of  $\mathbb{F}_q^n$ .

•  $\Delta_{n,q} = a \ \mathcal{S}_{n,q} imes \mathcal{S}_{n,q}$  matrix defined by:

$$(\Delta_{n,q})_{U,V} = \begin{cases} n-2 & \text{if } U = V, \\ -\binom{n-\dim(U)}{\dim(V)-\dim(U)}_q^{-1} & \text{if } U \subsetneq V, \\ -\binom{\dim(U)}{\dim(V)}_q^{-1} & \text{if } V \subsetneq U, \\ 0 & \text{otherwise.} \end{cases}$$

for all  $U, V \in S_{n,q}$ .

We can think of Δ<sub>n,q</sub> as a weighted Laplacian matrix associated to the graph

$$G_{n,q} = (\mathcal{S}_{n,q}, \{\{U,V\} : U \subsetneq V \text{ or } V \subsetneq U\}).$$

 Our goal: estimate the eigenvalues of Δ<sub>n,q</sub> (for fixed n ≥ 3 and large q).



#### Motivation

- High dimensional Laplacians on simplicial complexes
- Complexes of flags (i.e. spherical buildings)
- A conjecture of Papikian.

#### Simplicial complexes

Let V be a finite set.

A family of subsets  $X \subset 2^V$  is called a simplicial complex if it satisfies:

$$A \in X$$
 and  $B \subset A \implies B \in X$ .

- An element  $A \in X$  is called a simplex (or face) of X.
- The dimension of a simplex A is |A| 1.
- The dimension of  $X = \max_{A \in X} \dim(A)$ .

We may think of a simplicial complex as a geometric object:

$$\begin{split} X = & \{ \emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \\ & \{1, 2\}, \{1, 3\}, \{2, 3\}, \\ & \{2, 4\}, \{3, 4\}, \{1, 2, 3\} \} \end{split}$$



### High dimensional Laplacians

• Let  $k \ge -1$ . Define

$$X(k) = \{ \sigma \in X : \dim(\sigma) = k \}.$$

Space of *k*-cochains:

$$\mathcal{C}^k(X) = \{\phi: X(k) \to \mathbb{R}\} = \mathbb{R}^{X(k)}.$$

• Inner product on  $C^k(X)$ . For  $w: X \to \mathbb{R}_{>0}$ ,

$$\langle \phi, \psi \rangle = \sum_{\sigma \in X(k)} w(\sigma) \phi(\sigma) \psi(\sigma).$$

### High dimensional Laplacians

• Coboundary operator  $d_k : C^k(X) \to C^{k+1}(X)$ : For  $\phi \in C^k(X)$ ,

$$d_k\phi([v_0,\ldots,v_{k+1}]) = \sum_{i=0}^{k+1} (-1)^i \phi([v_0,\ldots,v_{i-1},v_{i+1},\ldots,v_{k+1}]).$$

• 
$$d_k^*: C^{k+1}(X) \to C^k(X)$$
:

$$\langle d_k \phi, \psi \rangle = \langle \phi, d_k^* \psi \rangle$$

•  $\Delta_k^+(X) : C^k(X) \to C^k(X) = k$ -dimensional Laplacian.

$$\Delta_k^+(X) = d_k^* d_k.$$

# High dimensional Laplacians – Matrix form

 $\Delta_k^+(X)$  is an X(k) imes X(k) matrix, with elements

$$\Delta_{k}^{+}(X)_{\sigma,\tau} = \begin{cases} \sum_{v \in N_{X}(\sigma)} \frac{w(\sigma \cup \{v\})}{w(\sigma)} & \text{if } \sigma = \tau, \\ \pm \frac{w(\sigma \cup \tau)}{w(\sigma)} & \text{if } \sigma \sim \tau, \\ 0 & \text{otherwise.} \end{cases}$$

In the special case k = 0:

$$\Delta_0^+(X)_{u,v} = \begin{cases} \sum_{u' \in N_X(u)} \frac{w(\{u, u'\})}{w(\{u\})} & \text{if } u = v, \\ -\frac{w(\{u, v\})}{w(\{u\})} & \text{if } \{u, v\} \in X, \\ 0 & \text{otherwise.} \end{cases}$$

#### Complexes of flags

- Recall:  $S_{n,q}$  = set of all non-trivial subspaces of  $\mathbb{F}_q^n$ .
- A flag is a family of subspaces  $\{V_1, \ldots, V_k\}$  such that

$$V_1 \subsetneq V_2 \subsetneq \cdots \subsetneq V_k.$$

Fl<sub>n,q</sub> = simplicial complex on vertex set S<sub>n,q</sub> whose simplices are the flags in F<sup>n</sup><sub>q</sub>.

### Complexes of flags- Example



(Source: Wikipedia)

#### A weight function on the complex of flags

- A complete flag is a flag of the form V<sub>1</sub> ⊂ V<sub>2</sub> ⊂ · · · ⊂ V<sub>n-1</sub>, where dim(V<sub>i</sub>) = i for all i.
- $Fl_{n,q}$  is a pure (n-2)-dimensional complex. (all maximal faces are of dimension n-2: the complete flags).
- For  $\sigma = \{V_1, \dots, V_k\} \in \mathsf{Fl}_{n,q}$ , we define

 $w(\sigma) =$  number of maximal faces of  $FI_{n,q}$  containing  $\sigma$ = number of complete flags extending  $\sigma$ . • The multiplicity of 0 as an eigenvalue of  $\Delta_k^+(Fl_{n,q})$  is determined by the homology groups of  $Fl_{n,q}$ , and is well understood.

#### Theorem (Garland '73)

Let  $n \ge 3$ ,  $0 \le k \le n-3$ . Let  $\epsilon > 0$ . Then, there exists  $q_0 = q_0(n, \epsilon)$  such that for  $q \ge q_0$ , every non-zero eigenvalue  $\lambda$  of  $\Delta_k^+(Fl_{n,q})$  satisfies

$$\lambda \geq n-k-2-\epsilon.$$



#### Conjecture (Papikian '16)

- The number of distinct eigenvalues of Δ<sup>+</sup><sub>k</sub>(Fl<sub>n,q</sub>) does not depend on q.
- Let ε > 0. Then, there exists q<sub>0</sub> = q<sub>0</sub>(n, ε) such that for q ≥ q<sub>0</sub>, every non-zero eigenvalue of Δ<sup>+</sup><sub>k</sub>(Fl<sub>n,q</sub>) is ε-close to one of the integers n k 2, n k 1,..., n 1.
  For k = 0: All non-zero eigenvalues of Δ<sup>+</sup><sub>0</sub>(Fl<sub>n,q</sub>) tend to n 2 or n 1.

#### Main result

Recall:

- $S_{n,q}$  = set of all non-trivial subspaces of  $\mathbb{F}_q^n$ .
- $\Delta_{n,q} = a \ \mathcal{S}_{n,q} imes \mathcal{S}_{n,q}$  matrix defined by:

$$(\Delta_{n,q})_{U,V} = \begin{cases} n-2 & \text{if } U = V, \\ -\binom{n-\dim(U)}{\dim(V)-\dim(U)}_q^{-1} & \text{if } U \subsetneq V, \\ -\binom{\dim(U)}{\dim(V)}_q^{-1} & \text{if } V \subsetneq U, \\ 0 & \text{otherwise.} \end{cases}$$

for all  $U, V \in S_{n,q}$ . •  $\Delta_{n,q}$  is exactly the 0-dimensional Laplacian  $\Delta_0^+(\mathsf{Fl}_{n,q})$ .

#### Main result

Theorem (L' 23+) Let  $n \ge 3$ ,  $q \ge q_0(n)$  a prime power. Then, the eigenvalues of  $\Delta_{n,q} = \Delta_0^+(Fl_{n,q})$  are:

• 0 with multiplicity 1,

• n-1 with multiplicity n-2,

• For  $1 \le k \le \lfloor (n-1)/2 \rfloor$  and every  $\zeta$  in

$$\mathcal{J}_k = \left\{ \pm 2\cos\left(\frac{j\pi}{n-2k+2}\right) : 1 \le j \le \left\lfloor \frac{n-2k+1}{2} \right\rfloor \right\},\,$$

$$\lambda \approx n - 2 + \zeta \cdot q^{-k/2}$$

is an eigenvalue with multiplicity  $\binom{n}{k}_{q} - \binom{n}{k-1}_{q}$ .

If n is even, we have the additional eigenvalues:

• n-2 with multiplicity  $\binom{n}{n/2}_{q} - \binom{n}{n/2-1}_{q}$ ,

• For 
$$1 \le k \le n/2 - 1$$
,

$$\lambda \approx n-2+\frac{2(n-2k)}{n-2k+2} \cdot q^{-k}$$

with multiplicity  $\binom{n}{k}_{q} - \binom{n}{k-1}_{q}$ .

## Example: n = 5



As an consequence, we obtain:

#### Corollary (L' 23+)

- Let  $\epsilon > 0$ . Then, there exists  $q_0 = q_0(n, \epsilon)$  such that for  $q \ge q_0$ , every eigenvalue  $\lambda \ne 0, n-1$  of  $\Delta_{n,q}$  satisfies  $|\lambda (n-2)| < \epsilon$ .

This solves the 0-dimensional case of Papikian's conjecture (for large q).

Two main ingredients for proof:

- Find a basis of  $C^0(\mathsf{Fl}_{n,q})$  in which  $\Delta_{n,q}$  has a "nicer" matrix representation (block diagonal with "small" blocks).
- Estimate the eigenvalues by approximating the characteristic polynomial of each block.

#### Subspace inclusion matrices

- S(i) = i-dimensional subspaces of  $\mathbb{F}_q^n$ .
- For  $0 \le i, j \le n$ ,  $A_{ij} = S(i) \times S(j)$  matrix  $(A_{ij})_{U,V} = \begin{cases} 1 & \text{if } U \subset V \text{ or } V \subset U, \\ 0 & \text{otherwise.} \end{cases}$
- We can write  $\Delta_{n,q}$  as an (n-1) imes (n-1) block matrix:

$$\Delta_{n,q} = \begin{pmatrix} L_{1,1} & \cdots & L_{1,n-1} \\ \vdots & & \vdots \\ L_{n-1,1} & \cdots & L_{n-1,n-1} \end{pmatrix}$$

where  $L_{ij}$  is an  $S(i) \times S(j)$  matrix:

$$L_{ij} = \begin{cases} (n-2)I & \text{if } i = j, \\ -\binom{n-i}{j-i}{q}^{-1}A_{ij} & \text{if } i < j, \\ -\binom{i}{j}{q}^{-1}A_{ij} & \text{if } i > j. \end{cases}$$

#### Properties of Subspace inclusion matrices

#### Theorem (Kantor '72)

- A<sub>ij</sub> is of full rank.
- Let  $k \leq j \leq i$ . Then  $A_{ij}A_{jk} = {\binom{i-k}{j-k}}_q A_{ik}$ .
- Lemma (L' 23+) Let  $k \leq i \leq j$ . Then,

$$A_{ij}A_{jk} = \sum_{m=0}^{k} c_{ijkm}A_{im}A_{mk},$$

where 
$$c_{ijkm} = \sum_{r=0}^{m} (-1)^{m-r} q^{\binom{r+1}{2} + \binom{m}{2} - rm} \binom{m}{r}_{q} \binom{n-i-k+r}{j-i-k+r}_{q}$$

#### Idea:

We will choose a basis B of  $C^0(Fl_{n,q})$  consisting of vectors of the form

for  $k \leq j \leq n - k$ , where v satisfies  $A_{ik}v = 0$  for all  $0 \leq i < k$ .



#### Theorem (L' 23+)

There is a basis B of  $C^0(Fl_{n,q})$  such that the matrix representation of  $\Delta_{n,q}$  with respect to the basis B is a block diagonal matrix

$$\begin{pmatrix} L_0 & & \\ & \ddots & \\ & & & L_{\lfloor \frac{n}{2} \rfloor} \end{pmatrix}$$

with blocks  $L_k = I_{\binom{n}{k}_q - \binom{n}{k-1}_q} \otimes \tilde{L}_k$ .

#### A change of basis

Theorem (L' 23+, continued) Where  $\tilde{L}_0$  is the  $(n-1) \times (n-1)$  matrix:

$$(\tilde{L}_0)_{ij} = \begin{cases} n-2 & \text{if } i=j, \\ -1 & \text{if } i\neq j \end{cases}$$

for  $1 \le i, j \le n-1$ , and for  $1 \le k \le \lfloor \frac{n}{2} \rfloor$ ,  $\tilde{L}_k$  is the  $(n-2k+1) \times (n-2k+1)$  matrix

$$(\tilde{L}_{k})_{ij} = \begin{cases} n-2 & \text{if } i = j, \\ -c_{ijkk} {\binom{n-i}{j-1}}_{q}^{-1} & \text{if } i < j, \\ -{\binom{i-k}{j-k}}_{q} {\binom{i}{j}}_{q}^{-1} & \text{if } i > j \end{cases}$$

for 
$$k \leq i, j \leq n - k$$

#### Estimating the characteristic polynomials

We can write

 $\tilde{L}_k = (n-2)I + M,$ 

where

$$M_{ij} = \begin{cases} 0 & \text{if } i = j, \\ -1 + O(q^{-1}) & \text{if } i < j, \\ -q^{-k(i-j)} (1 + O(q^{-1})) & \text{if } i > j. \end{cases}$$

Lemma (L' 23+)

Let m = n - 2k + 1. Let p(t) be the characteristic polynomial of M. Then,

$$p(s\cdot q^{-rac{k}{2}})=q^{-rac{km}{2}}\left( {\mathcal F}_m(s)+ ext{error term}
ight),$$

where  $F_m(s) = \sum_{j=0}^{\lfloor \frac{m}{2} \rfloor} (-1)^j {m-j \choose j} s^{m-2j}$ . (The roots of  $F_m(s)$  were computed by Donnelly, Dunkum, Huber and Knupp '21.)

