

# Independent transversals in locally sparse graphs

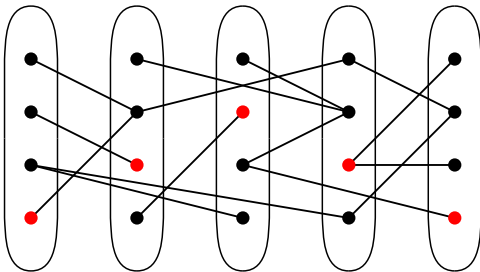
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Princeton University

# Independent Transversals

Let  $G$  be a multipartite graph with maximum degree  $\Delta$ .

**Independent transversal:** *One vertex from each part, with no adjacencies between the vertices.*



**Bollobás, Erdős, Szemerédi (1975)** *What ratios between the part sizes and  $\Delta$  will guarantee an independent transversal?*

## Past Results

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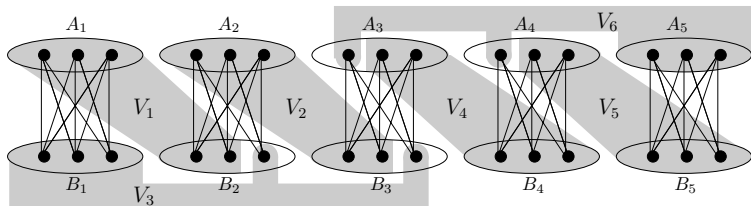
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Jin (1992); Yuster (1997); Szabó, Tardos (2005)  *$2\Delta$  is tight.*



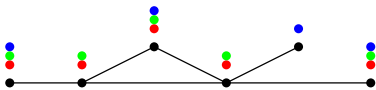
$\Delta = 3$ , part sizes  $2\Delta - 1 = 5$ , and no indep. trans.

# Applications

- Linear arboricity
- Strong chromatic number
- Partitioning into graphs with bounded components
- List coloring
- Cooperative colorings

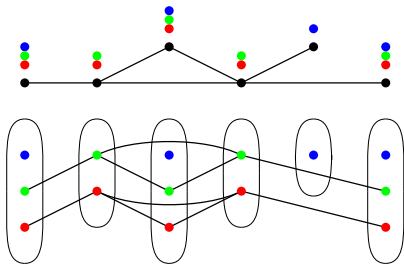
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Each vertex of graph  $G$  has a list of permitted colors.  
Does  $G$  have a proper coloring with respect to the lists?



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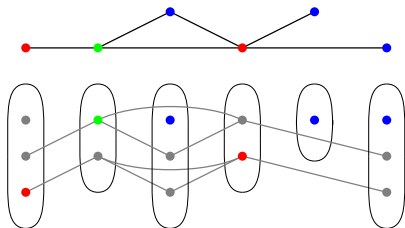
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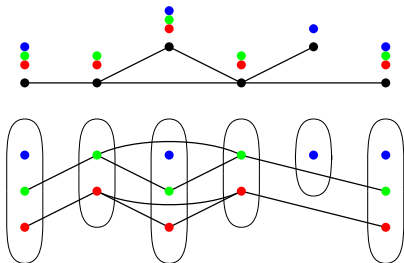
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independent transversal  $\longleftrightarrow$  proper coloring

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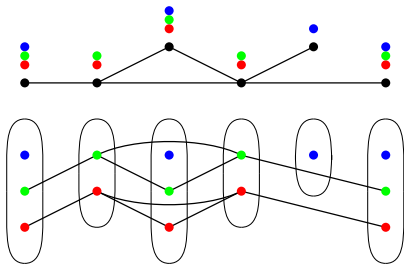


$$\Delta = \max_{v,c} \left\{ \begin{array}{l} c \text{ is in } v\text{'s color list} : \\ \# \text{ of neighbors of } v \text{ with color } c \text{ in their list} \end{array} \right\}$$

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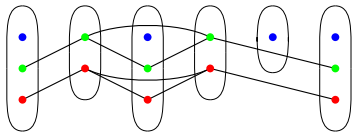
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Reed, Sudakov (2002) *Lists of size  $(1 + o(1))\Delta$  are sufficient.*

# Local degree

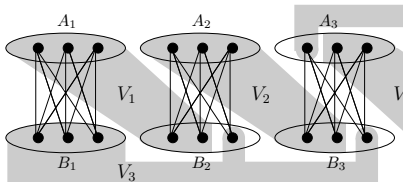
Let  $G$  be a multipartite graph with maximum degree  $\Delta$ .

$$\text{local degree} = \max_{v,i} \left\{ \# \text{ of nbrs of } v \text{ in } i^{\text{th}} \text{ part} \right\}$$



local degree = 1

local degree =  $\Delta$



## Cooperative colorings

Family of graphs with max. degree  $\Delta$ , sharing same vertex set.  
Color the vertex set with one independent set from each graph.

**Q:** *What number of graphs will guarantee this is possible?*



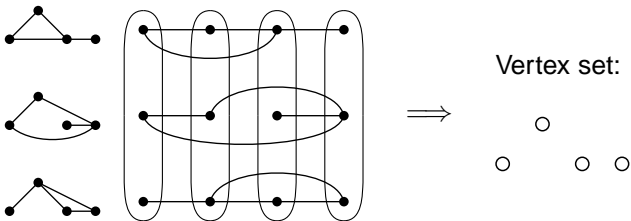
Vertex set:



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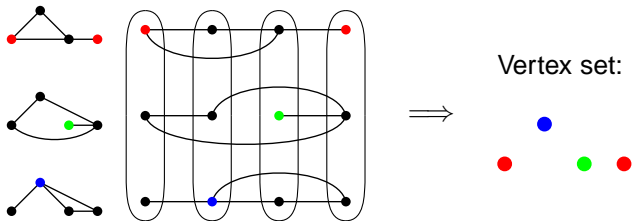
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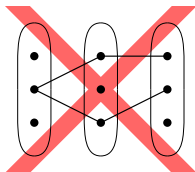


independent transversal  $\longleftrightarrow$  cooperative coloring

## Cooperative colorings

Aharoni, Berger, Holzman, Kfir (2005)

*If there is no path of length  $\leq 4$  between a pair of vertices in the same part, then parts of size  $(1 + o(1))\Delta$  are sufficient.*

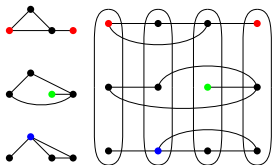
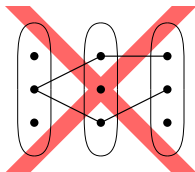




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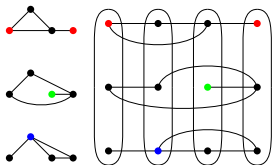
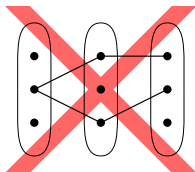


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**Corollary:**  $(1 + o(1))\Delta$  graphs are enough to ensure that a cooperative coloring exists.

**ABHK Conjecture:** *If the local degree is 1, then parts of size  $(1 + o(1))\Delta$  guarantee an independent transversal.*

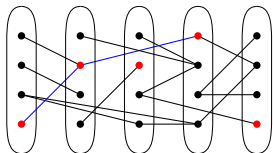
## New results

L., Sudakov (2005) *Let  $G$  be a multipartite graph with maximum degree  $\Delta$ , local degree  $o(\Delta)$ , and all parts of size  $(1 + o(1))\Delta$ . Then  $G$  has an independent transversal.*

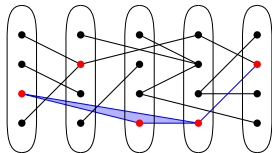
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$K_s$ -free transversal: One vertex from each part, with no subset inducing a  $K_s$ .



$K_3$ -free transversal



Transversal containing  $K_3$ .

Our main result is for  $K_s$ -free transversals with  $s = 2$ .

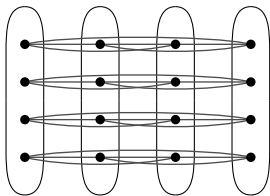
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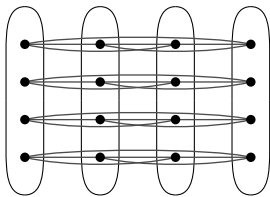
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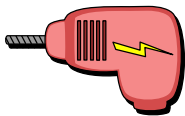
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- $\Delta + 1$  parts, each of size  $\left\lfloor \frac{\Delta}{s-1} \right\rfloor$ .
- Each level is a clique.
- To be  $K_s$ -free, a transversal can only take  $\leq s - 1$  vertices from each level.
- But it needs 1 from each part.

# Probabilistic tools





# Talagrand's Inequality

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- **Certifiable:** For any  $s$  and  $\omega$  with  $X(\omega) \geq s$ ,  $\exists I \subset \{1, \dots, n\}$ ,  $|I| \leq s$ , such that all  $\omega'$  that agree with  $\omega$  on coordinates in  $I$  have  $X(\omega') \geq s$  as well.

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Then for  $t \gg \sqrt{\mathbb{E}[X]}$ ,

$$\mathbb{P}[|X - \mathbb{E}[X]| > t] < 4e^{-\frac{t^2}{9c^2\mathbb{E}[X]}}.$$

# Lovász Local Lemma

- Family of events  $\{B_i\}_1^N$ .
- **Unlikely:** all  $\mathbb{P}[B_i] \leq p$ .
- **Mostly independent:** each  $B_i$  is mutually independent of all but  $\leq d$  other events.

Then:

$$ep(d+1) \leq 1 \implies \mathbb{P}[\text{none of } B_i \text{ occur}] > 0.$$

## Parts of size $2e\Delta$ are enough

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- Dependency  $d \leq 2(2e\Delta)\Delta - 2$ .
- $ep(d + 1) < 1$ , so there is a case when none of  $B_w$  occur.



## Proof of second result

*$K_s$ -free transversals: Let  $G$  be a multipartite graph with maximum degree  $\Delta$ , local degree  $o(\Delta)$ , and all parts of size  $(1 + o(1))\frac{\Delta}{s-1}$ . Then  $G$  has a  $K_s$ -free transversal.*

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Proof using our main theorem:

- Color the vertices of  $G$  with  $s - 1$  colors, minimizing monochromatic edges.
- Delete all edges whose endpoints are different colors.
- The new maximum degree is  $\lfloor \frac{\Delta}{s-1} \rfloor$ , so our main theorem applies.

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- An indep. trans. here is an  $(s - 1)$ -colorable subgraph of the original graph, so it is a  $K_s$ -free transversal there.



## Proof of main theorem

L., Sudakov (2005) *Let  $G$  be a multipartite graph with maximum degree  $\Delta$ , local degree  $o(\Delta)$ , and all parts of size  $(1 + o(1))\Delta$ . Then  $G$  has an independent transversal.*

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### Steps:

- Reduce to the case when local degree is less than 10.
  
  
  
  
  
  
  
  
  
  
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**Lemma:** *Given the above setup, there exists an induced subgraph  $G'$  of  $G$  with maximum degree  $\Delta'$ , local degree  $\leq 10$ , and parts of size  $(1 + o(1))\Delta'$ , with respect to the same partition.*

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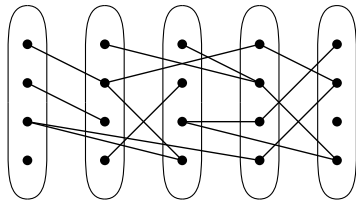
## Proof of main theorem

**Remains to show:** Fix  $\epsilon > 0$ . For sufficiently large  $\Delta$ , every multipartite graph  $G$  with maximum degree  $\Delta$ , local degree 1, and parts of size  $(1 + \epsilon)\Delta$  has an independent transversal.

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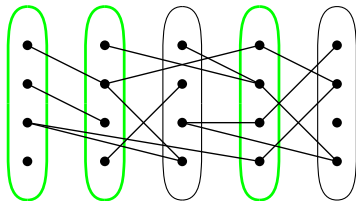


1. Activate each part w.p.  $\frac{1}{\log \Delta}$ .
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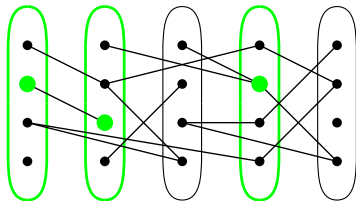


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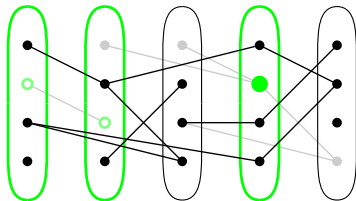


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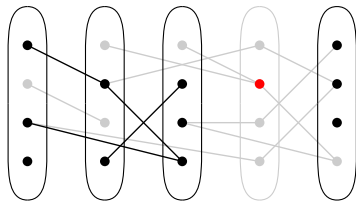


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## Main idea

Let  $s_t(i)$  = size of part  $i$  at start of iteration  $t$ .

Let  $d_t(v)$  = degree of  $v$  at start of iteration  $t$ .

**Claim:** Let  $T = \frac{10}{\epsilon} \log \Delta$ . Then for all  $t \leq T$  and all  $i$  and  $v$ , we can arrange to have:

$$s_t(i) \geq S_t, \quad d_t(v) \leq D_t, \quad \frac{S_T}{D_T} \geq 2e.$$

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$$\begin{aligned} S_1 &= (1 + \epsilon)\Delta, & S_{t+1} &\approx S_t \left(1 - \frac{1}{1+\epsilon} \frac{1}{\log \Delta}\right) \\ D_1 &= \Delta, & D_{t+1} &\approx D_t \left(1 - \frac{1}{\log \Delta}\right) \end{aligned}$$

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## Induction strategy

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## Induction strategy

**Property  $P(t)$ :** At the start of iteration  $t$ , all part sizes are  $\geq S_t$  and all degrees are  $\leq D_t$ .

To show that  $P(t) \Rightarrow P(t+1)$  for  $t < T$ :

- Let  $A_i$  be the event that  $s_{t+1}(i) < S_{t+1}$ .
- Let  $B_v$  be the event that  $d_{t+1}(v) > D_{t+1}$ .
- Vertices that are far apart give independent events, so the dependency  $d \leq O(\Delta^{100})$ .
- Local Lemma:  
$$ep(d+1) \leq 1 \implies \mathbb{P}[\text{none of } A_i, B_v \text{ occur}] > 0.$$

*Suffices to show that  $\mathbb{P}[A_i], \mathbb{P}[B_v]$  are exponentially small.*

## On average, parts remain large enough ...

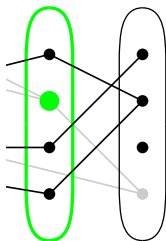
**Goal:** *With very high probability, the size of the  $i^{\text{th}}$  part is  $\geq S_{t+1}$  at the end of iteration  $t$ , given  $P(t)$ .*

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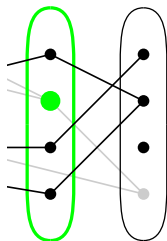


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## ... and part sizes are tightly concentrated

Define the random variable  $X = s_t(i) - s_{t+1}(i)$ .

This is the number of vertices lost in part  $i$  due to the selection of a neighbor.

- **1-Lipschitz:** *Every choice we make affects  $X$  by  $\leq 1$ , because the local degree is 1.*
- **Certifiable:** *For every vertex that the  $i^{\text{th}}$  part loses, there is one culprit.*

Talagrand's Inequality:

$$\mathbb{P} \left[ |X - \mathbb{E}[X]| > \frac{s_t(i)}{\log^2 \Delta} \right] < 4e^{-\frac{s_t(i)^2}{(\log^4 \Delta) 9\mathbb{E}[X]}}$$

## Degrees shrink quickly enough

Recall  $D_{t+1} \approx D_t \left(1 - \frac{1}{\log \Delta}\right)$ .

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Let  $Z = \#$  of parts *adjacent* to  $v$  that are deleted in Step 4.  
(A part is *adjacent* to  $v$  if it contains a neighbor of  $v$ .)

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**Suffices to show:** Almost definitely,  $Z \approx \frac{d_t(v)}{\log \Delta}$ .

First activate parts adjacent to  $v$ .

Let  $X = \#$  of activated parts adjacent to  $v$ .

- $\mathbb{E}[X] = \frac{d_t(v)}{\log \Delta}$ .
- $X \approx \mathbb{E}[X]$  because it is Binomial.

## Degrees shrink quickly enough

$Z$  = # of parts adjacent to  $v$  deleted in Step 4.

$X$  = # of activated parts adjacent to  $v$ .

Randomly select a vertex from each of the  $X$  parts above.

- Since local degree is bounded,  $\exists$  independent set of selected vertices of size  $Y \approx X$  almost definitely.
- Almost definitely, every vertex in the graph is adjacent to  $\leq \log \Delta$  vertices in  $Y$ , since local degree is bounded.

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Activate the remaining parts and choose a random vertex in each.  $Y - Z$  is the number that are lost due to the selection of a neighbor.

- This difference is  $(\log \Delta)$ -Lipschitz and Certifiable, so Talagrand gives  $Z \approx Y$ .



# Conclusion

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**Haxell (2004)**  *$C = 3$  is sufficient.*

**Szabó, Tardos (2005)**  *$C$  cannot be reduced below 2.*

**Folklore:**  *$C$  should be 2.*

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**Corollary of our result + trick of Aharoni, Berger, and Ziv:**

*If the local degree is  $o(\Delta)$ , then parts of size  $(2 + o(1))\Delta$  are sufficient.*

**L., Sudakov Conjecture:** *If the local degree is  $o(\Delta)$ , then parts of size  $(1 + o(1))\Delta$  are sufficient.*