

## 21-127 Concepts Homework 5: Solutions

4.20 a) First check injectivity:

$$\begin{aligned}
 f((x, y)) = f((u, v)) &\Rightarrow (ax - by, bx + ay) = (au - bv, bu + av) \\
 &\Rightarrow ax - by = au - bv \wedge bx + ay = bu + av \\
 &\Rightarrow a(x - u) = b(y - v) \wedge b(x - u) = a(v - y) \\
 &\Rightarrow ab(x - u) = b^2(y - v) \wedge ab(x - u) = -a^2(y - v) \\
 &\Rightarrow (b^2 + a^2)(y - v) = 0 \\
 &\Rightarrow y - v = 0 \quad (a^2 + b^2 \neq 0) \\
 &\Rightarrow y = v \\
 &\Rightarrow a(x - u) = 0 \wedge b(x - u) = 0 \\
 &\Rightarrow x - u = 0 \quad (\neg a = b = 0) \\
 &\Rightarrow x = u \\
 &\Rightarrow (x, y) = (u, v)
 \end{aligned}$$

Now check surjectivity; given  $(r, s) \in \mathbb{R}^2$  we seek  $(x, y)$  such that  $f((x, y)) = (r, s)$ . Solving the resulting simultaneous equation suggests  $(x, y) = \left(\frac{ar+bs}{a^2+b^2}, \frac{as-br}{a^2+b^2}\right)$  so we check this works:

$$\begin{aligned}
 f\left(\left(\frac{ar+bs}{a^2+b^2}, \frac{as-br}{a^2+b^2}\right)\right) &= \left(a\frac{ar+bs}{a^2+b^2} - b\frac{as-br}{a^2+b^2}, b\frac{ar+bs}{a^2+b^2} + a\frac{as-br}{a^2+b^2}\right) \\
 &= \left(\frac{a^2r+abs-abs+b^2r}{a^2+b^2}, \frac{abr+b^2s+a^2s-abr}{a^2+b^2}\right) \\
 &= (r, s)
 \end{aligned}$$

b) Our work in (a) suggests inverse  $g(x, y) = \left(\frac{ax+by}{a^2+b^2}, \frac{ay-bx}{a^2+b^2}\right)$ , we must check that  $f \circ g = id$  and  $g \circ f = id$ ,

$$\begin{aligned}
 f(g((x, y))) &= f\left(\left(\frac{ax+by}{a^2+b^2}, \frac{ay-bx}{a^2+b^2}\right)\right) \\
 &= \left(a\frac{ax+by}{a^2+b^2} - b\frac{ay-bx}{a^2+b^2}, b\frac{ax+by}{a^2+b^2} + a\frac{ay-bx}{a^2+b^2}\right) \\
 &= \left(\frac{a^2x+aby-aby-b^2x}{a^2+b^2}, \frac{abx+b^2y+a^2y-abx}{a^2+b^2}\right) \\
 &= (x, y)
 \end{aligned}$$

Note that this calculation is almost identical to the one we used to check surjectivity.

$$\begin{aligned}
 g(f((x, y))) &= g((ax - by, bx + ay)) \\
 &= \left( \frac{a(ax - by) + b(bx + ay)}{a^2 + b^2}, \frac{a(bx + ay) - b(ax - by)}{a^2 + b^2} \right) \\
 &= \left( \frac{a^2x + b^2x}{a^2 + b^2}, \frac{a^2y + b^2y}{a^2 + b^2} \right) \\
 &= (x, y)
 \end{aligned}$$

c)  $f$  describes a rotation about the origin. For example for  $a = 0, b = 1$  we have  $f((x, y)) = (-y, x)$  which is a rotation by 90 degrees anticlockwise.

**4.21** Define  $f$  from {even size subsets} to {odd size subsets} by  $f(A) = A \triangle \{1\}$ . So if 1 was absent we add it, and if it was present we remove it; either way we change the parity of  $|A|$  from even to odd. We can also define  $g$  from {odd size subsets} to {even size subsets} by  $g(A) = A \triangle \{1\}$ . Then

$$f(g(A)) = f(A \triangle 1) = (A \triangle 1) \triangle 1 = A \triangle (1 \triangle 1) = A \triangle \emptyset = A$$

and

$$g(f(A)) = g(A \triangle 1) = (A \triangle 1) \triangle 1 = A \triangle (1 \triangle 1) = A \triangle \emptyset = A$$

So  $g$  is the inverse of  $f$  and hence  $f$  is bijective.

Note that even though  $f$  and  $g$  are defined in the same way they are *not* the same function because they have different domains and codomains.

**4.24** No, for example  $f(x) = g(x) = x$ . Then  $fg(x) = f(x)g(x) = x^2$  and this is not surjective; for example -1 is not in its image.

**4.39** Observe that  $f(f(x)) = f(a(x + b) - b) = a([a(x + b) - b] + b) - b = a^2(x + b) - b$ . We guess that  $f^n(x) = a^n(x + b) - b$  and try to prove this by induction.

Base case  $n = 1$ : immediate.

Given for  $n$ ,

$$\begin{aligned}f^{n+1}(x) &= f(f^n(x)) \\ &= f(a^n(x+b) - b) \\ &= a([a^n(x+b) - b] + b) - b \\ &= a^{n+1}(x+b) - b\end{aligned}$$

**5.3** Observe that the numbers on opposite sides of a die sum to 7 (there are either 1 & 6, 2 & 5 or 3 & 4). So for any roll totalling  $x$  we can turn over the two dice and get a roll totalling  $(7+7) - x = 14 - x$ . The act of turning over is a bijection (it is its own inverse) so there are equal numbers of rolls totalling  $x$  and totalling  $14 - x$ .

**5.6** Number the elements of  $A$  and  $B$  from 1 to  $n$  and regards bijection from  $A$  to  $B$  as bijections from  $[n]$  to  $[n]$ . Such a bijection is just a permutation, and the number of these is  $n!$ .

**5.17** Note  $n, m < k$  and without loss of generality  $n \leq m$ . Dividing through by  $n!$  we get  $1 + \frac{m!}{n!} = \frac{k!}{n!}$ . But  $\frac{m!}{n!}$  divides  $\frac{k!}{n!}$  and the only way this is possible is if  $\frac{m!}{n!} = 1$ . Then  $m! = n!$  so  $m = n$ ; and  $k(k-1) \dots (n+1) = \frac{k!}{n!} = 2$  so  $k = 2$  and  $n = 1$ . This gives  $(n, m, k) = (1, 1, 2)$  as the only possibility.

**4.15** Proof by induction on  $k$ ,

Base case  $k = 1$ : We can weigh an object of weight 1 with a weight of 1.

Given for  $k$ : We can weigh  $1, \dots, \frac{3^k-1}{2}$  already by hypothesis. We also now have a new weight  $3^k$ . By placing this on one side and the previous weight combinations on the other we can get weights  $3^k - 1$  through  $3^k - \frac{3^k-1}{2} = \frac{3^k+1}{2}$ . So this gives us all weights up to  $3^k - 1$ , and we have  $3^k$  just taking the last weight by itself. Finally we have weights  $3^k + 1$  through  $3^k + \frac{3^k-1}{2} = \frac{3^{k+1}-1}{2}$  by putting the  $3^k$  weight on the same side as our earlier combinations.

**4.34 a)** True. Given that  $h$  is injective,

$$f(x) = f(y) \Rightarrow g(f(x)) = g(f(y)) \Rightarrow h(x) = h(y) \Rightarrow x = y$$

so  $f$  is injective.

**b)** False. For example  $A = \{1\}$ ,  $B = \{2, 3\}$ ,  $C = \{4\}$ ,  $f(1) = 2$ ,  $g(2) = g(3) = 4$ . Then  $g$  is not injective but  $h(1) = 4$  so  $h$  is.

c) False. Using the same counterexample as (b).

d) True. Given  $z \in C$ ,  $h$  is surjective so we have  $x \in A$  such that  $g(f(x)) = h(x) = z$ . Define  $y = f(x)$ ; then  $y \in B$  and  $g(y) = z$ .

**4.50** We want to find all the  $A_n$  for  $n$  odd, as these are the places we will use  $g^{-1}$ ; everywhere else we will use  $f$ . We observe  $B_0 = B \setminus \text{im}(f) = \{0\}$ . Then  $A_1$  is all the members of  $A$  with exactly one ancestor, i.e. the children of members of  $B_0$  (which have no ancestors), so  $A_1 = \{g(0)\} = \{\frac{1}{2}\}$ . Then  $A_3$  contains the grandchildren of these children so  $A_3 = \{g(f(\frac{1}{2}))\} = \{g(\frac{1}{2})\} = \{\frac{3}{4}\}$ . Continuing in the vein  $A_{2n-1} = \{1 - \frac{1}{2^n}\}$ . So,

$$h(x) = \begin{cases} g^{-1}(x) = 2x - 1 & x = 1 - \frac{1}{2^n} \text{ for some } n \in \mathbb{N} \\ f(x) = x & \text{otherwise} \end{cases}$$

**4.51** We could take an injection in each direction and then use the Schroeder-Bernstein method as in question 4.50 to get a bijection. But it's faster just to make up a function that fills in the gaps:

$$h(x) = \begin{cases} 0 & x = \frac{1}{2} \\ \frac{1}{n-2} & x = \frac{1}{n} \text{ for } n \in \mathbb{N}, n \geq 3 \\ x & \text{otherwise} \end{cases}$$

**Extra 1** In any disc take two distinct points  $(x, y)$  and  $(u, v)$ . Now by Theorem 1.10 we can find a rational between any two real numbers; take rationals  $p$  between  $x$  and  $u$ ; and  $q$  between  $y$  and  $v$ . Then  $(p, q)$  lies between  $(x, y)$  and  $(u, v)$  and hence inside the disc. This tells us that inside any disc there is a member of  $\mathbb{Q}^2$ .

Given a disjoint arrangement of discs in  $\mathbb{R}^2$ , choose a member of  $\mathbb{Q}^2$  inside each; this defines a function from  $\{\text{discs}\}$  to  $\mathbb{Q}^2$  and the function is injective because the discs are disjoint. Hence  $|\{\text{discs}\}| \leq |\mathbb{Q}^2| = |\mathbb{N}|$  so the collection of discs is countable.

**Extra 2 a)** We saw in class that  $|\{\text{functions } \mathbb{N} \rightarrow \mathbb{N}\}| = |\mathbb{P}(\mathbb{N})|$ , so certainly  $|\{\text{non-decreasing functions } \mathbb{N} \rightarrow \mathbb{N}\}| \leq |\mathbb{P}(\mathbb{N})|$ . Given  $A \in \mathbb{P}(\mathbb{N})$  define a function  $f : \mathbb{N} \rightarrow \mathbb{N}$  iteratively by  $f(1) = 1$  and,

$$f(n+1) = \begin{cases} f(n) & n \notin A \\ f(n) + 1 & n \in A \end{cases}$$

Note that the resulting function is non-decreasing. Also this process of changing a set into a function constitutes an injective function from  $\mathbb{P}(\mathbb{N})$

to  $\{\text{non-decreasing functions } \mathbb{N} \rightarrow \mathbb{N}\}$  because we can find whether  $n$  was in  $A$  by checking whether  $f(n+1) - f(n) = 1$ . Hence we have  $|\mathbb{P}(\mathbb{N})| \leq |\{\text{non-decreasing functions } \mathbb{N} \rightarrow \mathbb{N}\}|$  so by Schroeder-Bernstein  $|\{\text{non-decreasing functions } \mathbb{N} \rightarrow \mathbb{N}\}| = |\mathbb{P}(\mathbb{N})|$ .

**b)** There are certainly at least  $|\mathbb{N}|$ -many non-increasing functions, for example the constant functions. Observe that a non-increasing function can only decrease a finite number of times, and must eventually stabilise, say by  $n$  so  $\forall m \geq n : f(m) = f(n)$ . Now we can regard this function as a finite list  $\{f(1), f(2), \dots, f(n)\}$ , giving us a mapping from  $\{\text{non-increasing functions } \mathbb{N} \rightarrow \mathbb{N}\}$  to  $\{\text{finite lists of naturals}\}$ ; this mapping is injective because the function is recoverable from the list (just take  $f(m) = f(n)$  for  $m > n$ ). Hence  $|\{\text{non-increasing functions } \mathbb{N} \rightarrow \mathbb{N}\}| \leq |\{\text{finite lists of naturals}\}| = |\mathbb{N}|$  (saw in class). So by Schroeder Bernstein  $|\{\text{non-increasing functions } \mathbb{N} \rightarrow \mathbb{N}\}| = |\mathbb{N}|$ .

**Extra 3** We have injective  $f : \mathbb{R} \rightarrow \mathbb{R}^2$  given by  $f(x) = (x, 0)$ . And we have injective  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  given by taking  $(c_n \dots c_1 . a_1 a_2 a_3 \dots, d_n \dots d_1 . b_1 b_2 \dots)$  to  $c_n d_n c_{n-1} \dots d_2 c_1 d_1 . a_1 b_1 a_2 b_2 \dots$ . I.e. we interleave their decimal expansions, and this will retain all the information from the original two reals so it is injective. Whence by Schroder Bernstein  $|\mathbb{R}^2| = |\mathbb{R}|$ .

Upon first seeing this proof, the great mathematician Georg Cantor wrote '*Je le vois, mais je ne crois pas*' - 'I see it but I don't believe it'.