These notes are from http://math.cmu.edu/~cocox/teaching/discrete20/rec1.pdf

For non-negative integers n, k, define the set

$$A(n,k) := \{ (x_1, \dots, x_k) \in \mathbb{N}^k : x_1 + \dots + x_k = n \}.$$

**Claim 1.** The number of ways to color n indistinguishable balls using k distinct colors (not every color must be used) is precisely |A(n,k)|.

*Proof.* Denote by C(n,k) the set of all k-colorings of n indistinguishable balls. We show that |C(n,k)| = |A(n,k)| by finding a bijection  $f: C(n,k) \to A(n,k)$ .

Fix  $c \in C(n,k)$  and for  $i \in [k]$ , let  $c_i$  denote the number of balls of color i in c. Observe that  $c_i \in \mathbb{N}$  for all i and that  $c_1 + \cdots + c_k = n$  since there are n balls in total, each of which has a color. Thus, define  $f(c) = (c_1, \ldots, c_n)$ . By the observation, f is indeed a map from C(n,k) to A(n,k); we must show it is both injective and surjective.

Surjective: Consider any  $(x_1, \ldots, x_k) \in A(n, k)$ ; we must find some coloring  $c \in C(n, k)$  for which  $f(c) = (x_1, \ldots, x_k)$ . Consider an arbitrary ordering of the *n* balls. Define *c* by coloring the first  $x_1$  balls with color 1, the next  $x_2$  balls with color 2, etc. Since  $x_i \in \mathbb{N}$  and  $x_1 + \cdots + x_k = n$ , this is a valid coloring, and  $f(c) = (x_1, \ldots, x_n)$ .

Injective: Consider any  $c \neq c' \in C(n,k)$ ; we must show that  $f(c) \neq f(c')$ . Since  $c \neq c'$  and the balls are indistinguishable, there must be some color  $i \in [k]$  for which  $c_i \neq c'_i$ ; hence  $(c_1, \ldots, c_k) \neq (c'_1, \ldots, c'_k)$  and so  $f(c) \neq f(c')$ .

So, how big is A(n,k)?

**Claim 2.**  $|A(n,k)| = \binom{n+k-1}{k-1} = \binom{n+k-1}{n}$ .

*Proof.* We will prove this through what is known as the "stars and bars" argument. Let B(n, k) denote the set of all ways to arrange n indistinguishable stars (\*) and k-1 indistinguishable bars (|) in a row. For instance, with n = k = 5, || \* \*| \* | \* \* is an element of B(n, k). Observe that we can think of B(n, k) in the following way: there are n + k - 1 empty slots, each of which can be filled with either a \* or a |. Since an element of B(n, k) is determined uniquely by the positions of the bars (since then every other slot must then contain a \*), we have  $|B(n, k)| = \binom{n+k-1}{k-1}$  since there are precisely this many ways to place k-1 bars into n+k-1 slots. Equivalently, an element of B(n, k) is determined uniquely by the positions of the stars, so  $|B(n, k)| = \binom{n+k-1}{n}$  by the same reasoning.

Thus, it suffices to establish a bijection  $f: A(n,k) \to B(n,k)$ . For  $(x_1,\ldots,x_k) \in A(n,k)$ , we form an arrangement of stars and bars by first filling the first  $x_1$  slots with stars and place a bar, then fill the next  $x_2$  slots with stars and place a bar, etc. This continues until we finally place  $x_k$  stars, but we do not place a bar after them. Let  $f(x_1,\ldots,x_k)$  denote this arrangement; for example, f(0,3,0,0,1) = |\*\*\*|||\*.

Since  $x_1 + \cdots + x_k = n$ , we have placed n stars and k - 1 bars, we have  $f(x_1, \ldots, x_k) \in B(n, k)$ . We must show now that f is a bijection. Surjective: Consider an arrangement b of stars and bars in B(n, k); we must find some  $(x_1, \ldots, x_k) \in A(n, k)$  for which  $f(x_1, \ldots, x_k) = b$ . Let  $x_1$  denote the number of stars which appear before the first bar (which may be 0), let  $x_k$  denote the number of stars which appear after the last bar, and for all other i, let  $x_i$  denote the number of stars which appear between the (i - 1)st bar and the ith bar. Since there are k - 1 bars, each of these numbers are well-defined and are non-negative integers. Furthermore, since there are n stars in total,  $x_1 + \cdots + x_k = n$ , so  $(x_1, \ldots, x_k) \in A(n, k)$ . Finally, by construction,  $f(x_1, \ldots, x_k) = b$ .

Injective: Suppose that  $(x_1, \ldots, x_k), (x'_1, \ldots, x'_k) \in A(n, k)$  have  $f(x_1, \ldots, x_k) = f(x'_1, \ldots, x'_k)$ ; we must show that  $x_i = x'_i$  for all  $i \in [k]$ . By construction, the arrangement  $f(x_1, \ldots, x_k)$  has  $x_1$  stars before the first bar,  $x_k$  stars after the last bar, and  $x_i$  stars between the (i - 1)st and *i*th bars for all other *i*. Similarly,  $f(x'_1, \ldots, x'_k)$  has  $x'_1$  stars before the first bar,  $x'_k$  stars after the last bar, and  $x'_i$  stars between the (i - 1)st and *i*th bars for all other *i*. We conclude that  $x_i = x'_i$  for all  $i \in [k]$ .  $\Box$ 

For non-negative integers n, k, define the set

$$A'(n,k) := \{ (x_1, \dots, x_k) \in \mathbb{N}^k : x_1 + \dots + x_k = n, \ x_i \ge 1 \}.$$

Following the same ideas as in Claim 1, we see that |A'(n,k)| is precisely the number of ways to color n indistinguishable balls using k distinct colors so that each color is used at least once. So, how big is A'(n,k)?

Claim 3.  $|A'(n,k)| = |A(n-k,k)| = {\binom{n-1}{k-1}}.$ 

*Proof.* We already know that  $|A(n-k,k)| = \binom{n-1}{k-1}$  through Claim 1, so it is enough to show that |A'(n,k)| = |A(n-k,k)|, which we will do by finding a bijection  $f: A'(n,k) \to A(n-k,k)$ . For  $(x_1,\ldots,x_k) \in A'(n,k)$ , define

$$f(x_1, \ldots, x_k) = (x_1 - 1, \ldots, x_k - 1).$$

Since each  $x_i$  is a positive integer,  $x_i - 1$  is a non-negative integer and  $(x_1 - 1) + \cdots + (x_k - 1) = (x_1 + \cdots + x_k) - k = n - k$ ; hence f is indeed a map from A'(n,k) to A(n-k,k). We must show that f is bijective.

Surjective: Consider  $(y_1, \ldots, y_k) \in A(n-k, k)$ ; we must find  $(x_1, \ldots, x_k) \in A'(n, k)$  with  $f(x_1, \ldots, x_k) = (y_1, \ldots, y_k)$ . Consider  $x_i = y_i + 1$ ; certainly  $x_i \in \mathbb{N}_{\geq 1}$  since  $y_i \in \mathbb{N}$  and  $x_1 + \cdots + x_k = (y_1 + 1) + \cdots + (y_k + 1) = n-k+k = n$ , so  $(x_1, \ldots, x_k) \in A'(n, k)$ . Furthermore, by definition,  $f(x_1, \ldots, x_k) = (y_1, \ldots, y_k)$ .

*Injective:* Suppose that  $(x_1, ..., x_k), (x'_1, ..., x'_k) \in A'(n, k)$  have  $f(x_1, ..., x_k) = f(x'_1, ..., x'_k)$ . Thus,  $(x_1 - 1, ..., x_k - 1) = (x'_1 - 1, ..., x'_k - 1)$  and so  $(x_1, ..., x_k) = (x'_1, ..., x'_k)$  as needed.  $\Box$ 

A similar argument shows that for  $\ell_1, \ldots, \ell_k \in \mathbb{N}$ ,

$$\left|\left\{(x_1,\ldots,x_k)\in\mathbb{N}^k: x_1+\cdots+x_k=n, \ x_i\ge\ell_i\right\}\right| = |A(n-\ell_1-\cdots-\ell_k,k)| = \binom{n+k-\ell_1-\cdots-\ell_k-1}{k-1}.$$

In Claim 3, we showed that  $|A'(n,k)| = \binom{n-1}{k-1}$ . The number  $\binom{n-1}{k-1}$  is additionally the number of subsets of [n-1] of size k-1... Can we find a direct bijection between A'(n,k) and these subsets?

Firstly, some notation. For a set X and a non-negative integer k, we denote the set of all k-subsets of X by

$$\binom{X}{k} := \{ K \subseteq X : |K| = k \}.$$

We use this notation since  $|\binom{X}{k}| = \binom{|X|}{k}$  for any finite set X.

**Claim 4.** There is a direct bijection from A'(n,k) to  $\binom{[n-1]}{k-1}$ .

*Proof.* For  $(x_1, \ldots, x_k) \in A'(n, k)$ , define

$$f(x_1,\ldots,x_k) = \left\{\sum_{j=1}^i x_i : i \in [k-1]\right\} = \left\{x_1, \ x_1 + x_2, \ x_1 + x_2 + x_3, \ \ldots, \ x_1 + \cdots + x_{k-1}\right\}.$$

Notice that we only consider up to the (k-1)st partial sum and not the sum of all  $x_i$ . Intuitively, this is because we know that  $x_1 + \cdots + x_k = n$ , so this would be redundant.

We must first argue that  $f(x_1, \ldots, x_k) \in {\binom{[n-1]}{k-1}}$ . To begin, each  $x_i$  is a positive integer, and so each  $\sum_{j=1}^{i} x_j$  is also a positive integer. Furthermore, for any  $i \in [k-1]$ , we have

$$1 \le x_1 \le \sum_{j=1}^{i} x_j \le \sum_{j=1}^{k-1} x_j = n - x_k \le n - 1.$$

Thus,  $f(x_1, \ldots, x_k)$  is indeed a subset of [n-1]; we still must show that it has size k-1. By definition,  $f(x_1, \ldots, x_k)$  has at most k-1 elements, but we could run into trouble if there were some  $i \neq \ell \in [k-1]$  for which  $\sum_{j=1}^{i} x_j = \sum_{j=1}^{\ell} x_j$  since then we would have written the same number twice. To show that this does not happen, we observe that for any  $i \in [k-2]$ ,

$$\sum_{j=1}^{i} x_j < \sum_{j=1}^{i} x_j + 1 \le \sum_{j=1}^{i+1} x_j.$$

Therefore, f is indeed a map from A'(n,k) to  $\binom{[n-1]}{k-1}$ . We must now argue that f is bijective.

Surjective: Consider any  $S \in {\binom{[n-1]}{k-1}}$ ; we must find some  $(x_1, \ldots, x_k) \in A'(n, k)$  for which  $f(x_1, \ldots, x_k) = S$ . Suppose that  $S = \{s_1, \ldots, s_{k-1}\}$  where  $s_1 < \cdots < s_{k-1}$ . For convenience, additionally set  $s_k = n$ . Define  $x_1 = s_1, x_2 = s_2 - s_1, x_3 = s_3 - s_2$ , etc. In other words, for  $i \in \{2, \ldots, k\}$ , we define  $x_i = s_i - s_{i-1}$  and also  $x_1 = s_1$ . We claim that  $(x_1, \ldots, x_k) \in A'(n, k)$  and that  $f(x_1, \ldots, x_k) = S$ .

To do this, we prove first by induction on  $i \in [k]$  that  $\sum_{j=1}^{i} x_j = s_i$ . We defined  $x_1 = s_1$ , so the base case is clear. Suppose now that the claim holds for some  $i \in [k-1]$ ; we need to prove that  $\sum_{j=1}^{i+1} x_j = s_{i+1}$ . To see this,

$$\sum_{j=1}^{i+1} x_j = \sum_{j=1}^{i} x_j + x_{i+1} = s_i + (s_{i+1} - s_i) = s_{i+1}$$

Therefore, if it is the case that  $(x_1, \ldots, x_k) \in A'(n, k)$ , we have shown that  $f(x_1, \ldots, x_k) = S$ . To show that  $(x_1, \ldots, x_k) \in A'(n, k)$ , we need to show that each  $x_i$  is a positive integer and that  $x_1 + \cdots + x_k = n$ . The latter is true since we have already shown that  $x_1 + \cdots + x_k = s_k = n$ . For the former,  $x_1 = s_1 \in [n-1]$  and so  $x_1 \in \mathbb{N}_{\geq 1}$ . Furthermore,  $s_i \in [n]$  for all  $i \in [k]$  and also  $s_i > s_{i-1}$ , so  $x_i = s_i - s_{i-1} \geq 1$  and  $x_i \in \mathbb{N}$ .

Injective: Suppose that  $(x_1, \ldots, x_k) \neq (x'_1, \ldots, x'_k) \in A'(n, k)$ ; we must show that  $f(x_1, \ldots, x_k) \neq f(x'_1, \ldots, x'_k)$ . Since  $(x_1, \ldots, x_k) \neq (x'_1, \ldots, x'_k)$ , there is some  $i \in [k]$  for which  $x_i \neq x'_i$ ; let i denote the smallest such index for which this holds (so that  $x_j = x'_j$  for all j < i). Therefore,  $\sum_{j=1}^{\ell} x_j = \sum_{j=1}^{\ell} x'_j$  for all  $\ell < i$ ; this additionally implies that  $\sum_{j=1}^{i} x_j \neq \sum_{j=1}^{i} x'_j$ . Observe that  $i \in [k-1]$ . Indeed, if i = k, then  $x_1 + \cdots + x_{k-1} = x'_1 + \cdots + x'_{k-1}$ , but we know also that  $x_1 + \cdots + x_k = n = x'_1 + \cdots + x'_k$ , which implies that  $x_k = x'_k$  as well; a contradiction.

Without loss of generality, suppose that  $\sum_{j=1}^{i} x_j < \sum_{j=1}^{i} x'_j$ .

Set  $s_{\ell} = \sum_{j=1}^{\ell} x_j$  and  $s'_{\ell} = \sum_{j=1}^{\ell} x'_j$  for all  $\ell \in [k-1]$ ; thus  $f(x_1, \ldots, x_k) = \{s_1, \ldots, s_{k-1}\} =: S$ and  $f(x'_1, \ldots, x'_k) = \{s'_1, \ldots, s'_k\} =: S'$ . By our previous observations, we know that  $s_1 < \cdots < s_{k-1}$ and  $s'_1 < \cdots < s'_{k-1}$ ; however,  $s_j = s'_j$  for all j < i by the definition of i. We thus have  $s'_1 < \cdots < s'_{i-1} < s_i < s'_i < s'_{i+1} < \cdots < s'_{k-1}$ ; therefore,  $s_i \in S$ , but  $s_i \notin S'$ , implying that  $S \neq S'$  as needed.  $\Box$